



**Topological Matter School 2018**



# Lecture Course

# GROUP THEORY AND

# TOPOLOGY

Donostia - San Sebastian

23-26 August 2018

# GROUP THEORY

(few basic facts)

# I. Crystallographic symmetry operations

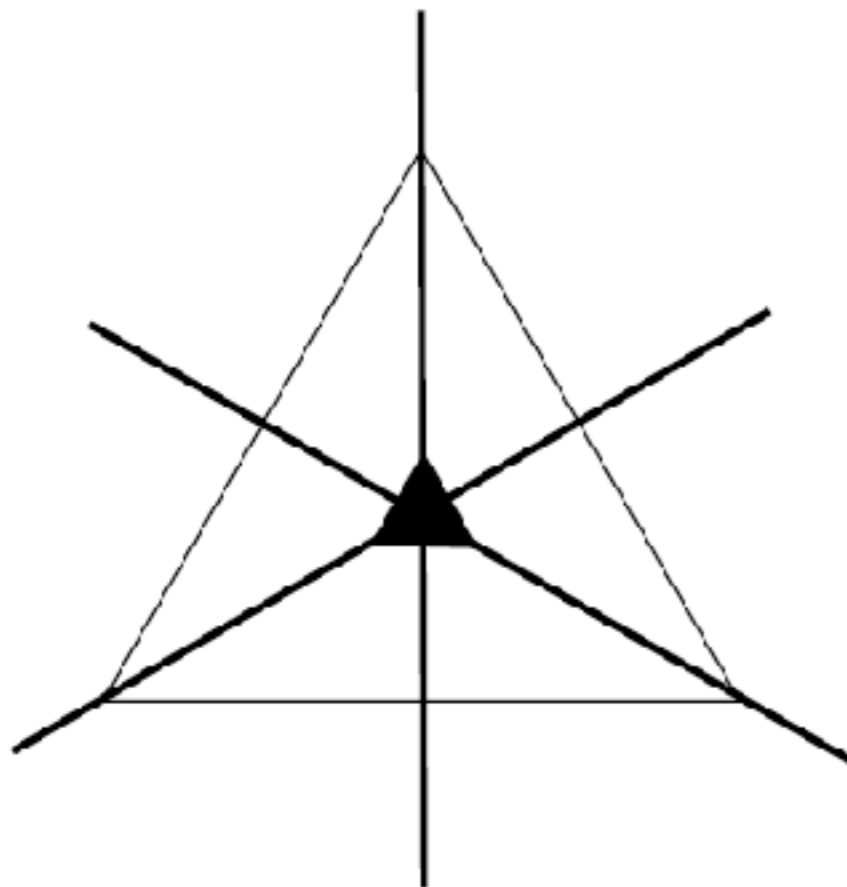
## Symmetry operations of an object

The symmetry operations are *isometries*, *i.e.* they are special kind of *mappings* between an object and its image that leave all distances and angles invariant.

The isometries which map the object onto itself are called *symmetry operations of this object*. The *symmetry* of the object is the set of all its symmetry operations.

## Crystallographic symmetry operations

If the object is a crystal pattern, representing a real crystal, its symmetry operations are called *crystallographic symmetry operations*.

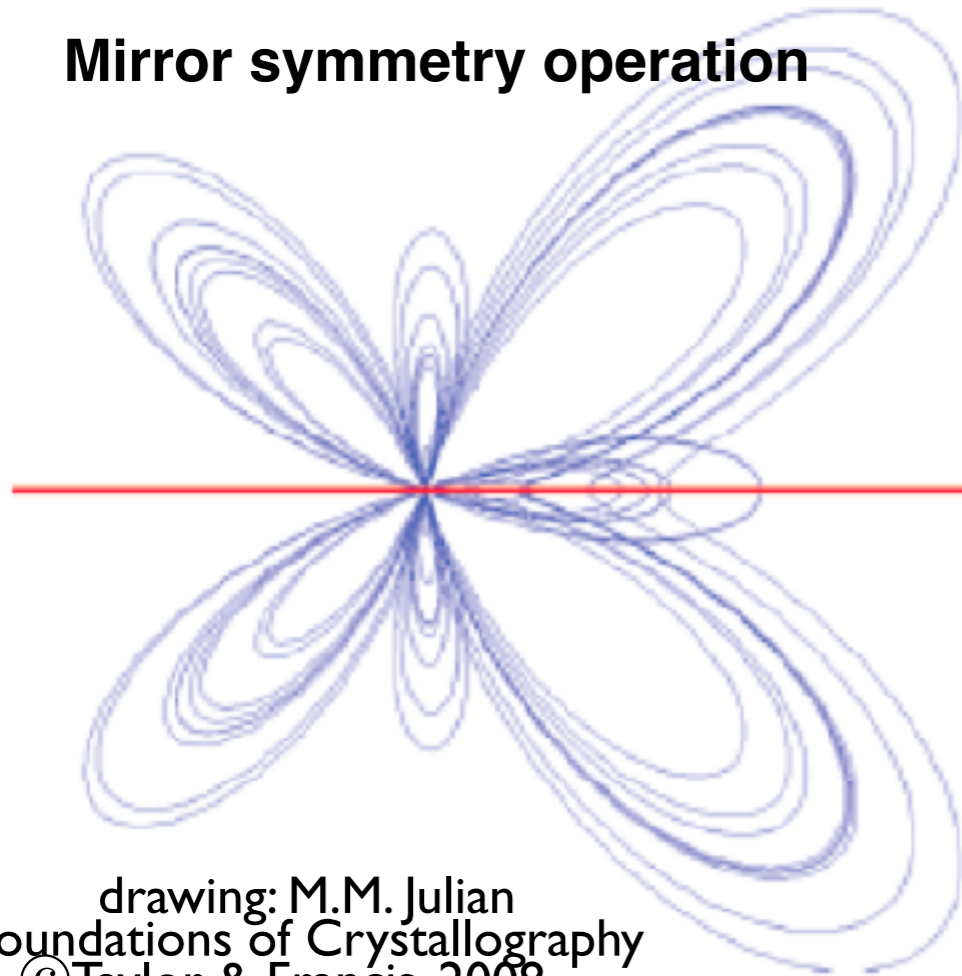


The equilateral triangle allows six symmetry operations: rotations by 120 and 240 around its centre, reflections through the three thick lines intersecting the centre, and the identity operation.

# Symmetry operations in the plane

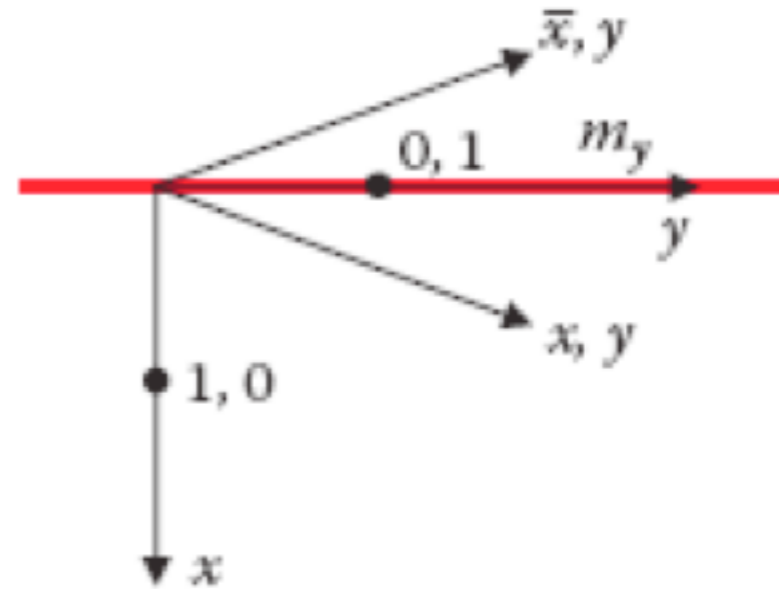
## Matrix representations

### Mirror symmetry operation



drawing: M.M. Julian  
Foundations of Crystallography  
© Taylor & Francis, 2008

### Mirror line $m_y$ at $0,y$



### Matrix representation

$$m_y \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} -x \\ y \end{bmatrix} = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

$$\det \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} = ? \quad \text{tr} \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} = ?$$

### Fixed points

$$m_y \begin{bmatrix} x_f \\ y_f \end{bmatrix} = \begin{bmatrix} x_f \\ y_f \end{bmatrix}$$

### Geometric element and symmetry element

## 2. Group axioms

**DEFINITION.** The symmetry operations of an object constitute its **symmetry group**.

**DEFINITION.** A **group** is a set  $G = \{e, g_1, g_2, g_3 \dots\}$  together with a product  $\circ$ , such that

i)  $G$  is "closed under  $\circ$ ": if  $g_1$  and  $g_2$  are any two members of  $G$  then so are  $g_1 \circ g_2$  and  $g_2 \circ g_1$ ;

ii)  $G$  contains an identity  $e$ : for any  $g$  in  $G$ ,  
 $e \circ g = g \circ e = g$ ;

iii)  $\circ$  is associative:  $(g_1 \circ g_2) \circ g_3 = g_1 \circ (g_2 \circ g_3)$ ;

iv) Each  $g$  in  $G$  has an inverse  $g^{-1}$  that is also in  $G$ :  $g \circ g^{-1} = g^{-1} \circ g = e$ .

# Group properties

1. **Order of a group**  $|G|$  : number of elements

crystallographic point groups:  $1 \leq |G| \leq 48$

space groups:  $|G| = \infty$

2. **Abelian group G:**

$$g_i \cdot g_j = g_j \cdot g_i \quad \forall g_i, g_j \in G$$

3. **Cyclic group G:**

$$G = \{g, g^2, g^3, \dots, g^n\}$$

finite:  $|G| = n, g^n = e$

infinite:  $G = \langle g, g^{-1} \rangle$

order of a group element:  $g^n = e$

## 4. How to define a group

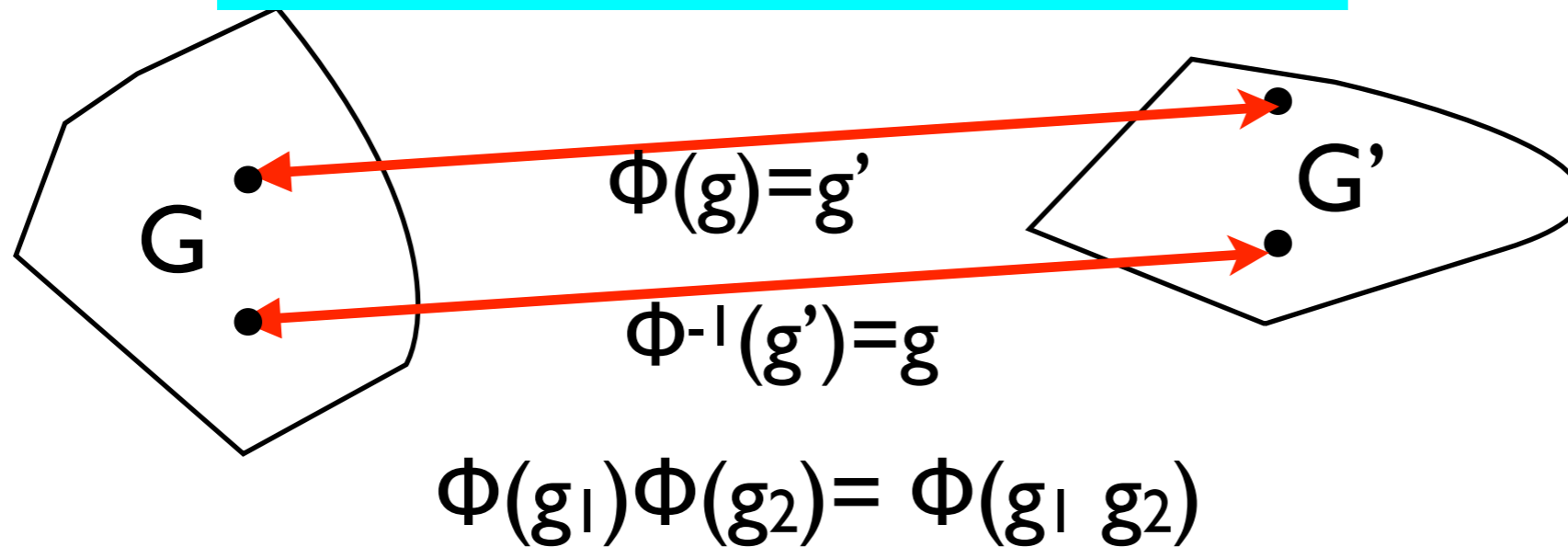
### Multiplication table

	$E$	$A$	$B$
$E$	$E$	$A$	$B$
$A$	$A$	$B$	$E$
$B$	$B$	$E$	$A$

### Group generators

a set of elements such that each element of the group can be obtained as a product of the generators

# Isomorphic groups



Point group  $\mathbf{2} = \{1, 2\}$

$\times$	1	2
1	1	2
2	2	1

Point group  $\mathbf{m} = \{1, m\}$

$\times$	1	$m_y$
1	1	$m_y$
$m_y$	$m_y$	1

-groups with the same multiplication table



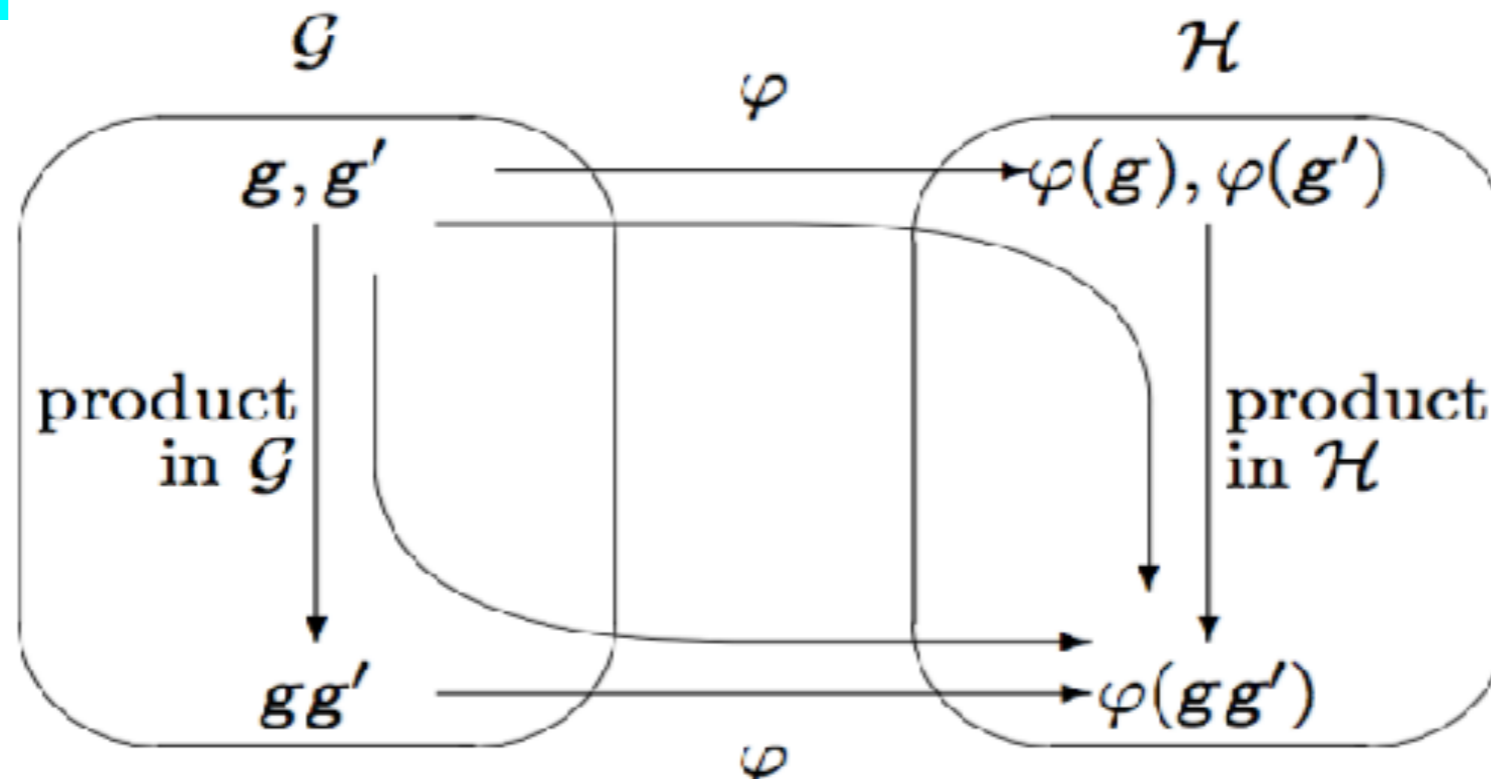
# Homomorphism

mapping

$$G = \{g\} \xrightarrow{\varphi(g)=h} H = \{h\}$$

homomorphic  
condition

$$\varphi(gg') = \varphi(g)\varphi(g') \text{ for all } g, g' \in \mathcal{G}.$$



The set  $\{g \in \mathcal{G} \mid \varphi(g) = e\}$  is called the **kernel of  $\varphi$**  denoted by  $\ker(\varphi)$ .

The set  $\varphi(\mathcal{G}) = \{\varphi(g) \mid g \in \mathcal{G}\}$  is called the **image of  $\varphi$** , denoted by  $\text{im}(\varphi)$ .

homomorphism for which  $\ker(\varphi) = \{e\}$  is called **injective** or a *monomorphism*.

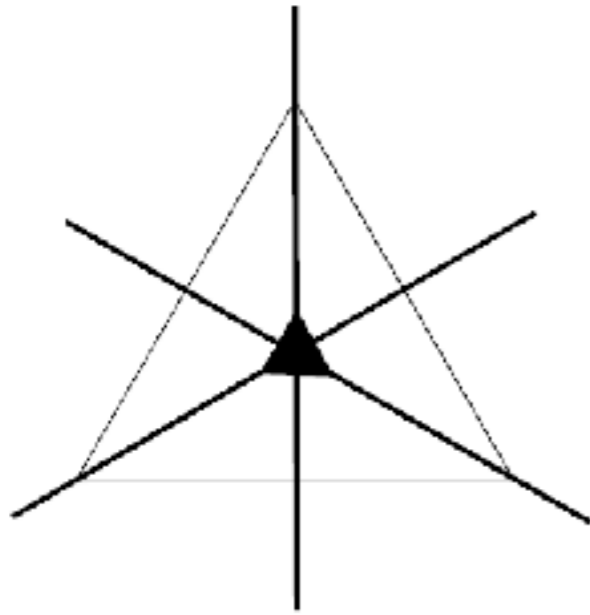
homomorphism for which  $\text{im}(\varphi) = \mathcal{H}$  is called **surjective** or an *epimorphism*.

isomorphism

homomorphism which is both **injective and surjective** is called *bijective*

## Exercise 1.1

Consider the symmetry group of the equilateral triangle. Determine:



-symmetry operations:  
matrix and  $(x,y)$   
presentation

-generators

-multiplication table

# SEITZ SYMBOLS FOR SYMMETRY OPERATIONS

point-group  
symmetry operation

- specify the type and the order of the symmetry operation

1 and $\bar{1}$	identity and inversion
m	reflections
2, 3, 4 and 6	rotations
$\bar{3}$ , $\bar{4}$ and $\bar{6}$	rotoinversions

- orientation of the symmetry element by the direction of the axis for rotations and rotoinversions, or the direction of the normal to reflection planes.

## SHORT-HAND NOTATION OF SYMMETRY OPERATIONS

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \mathbf{R} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} R_{11} & R_{12} \\ R_{21} & R_{22} \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

notation:

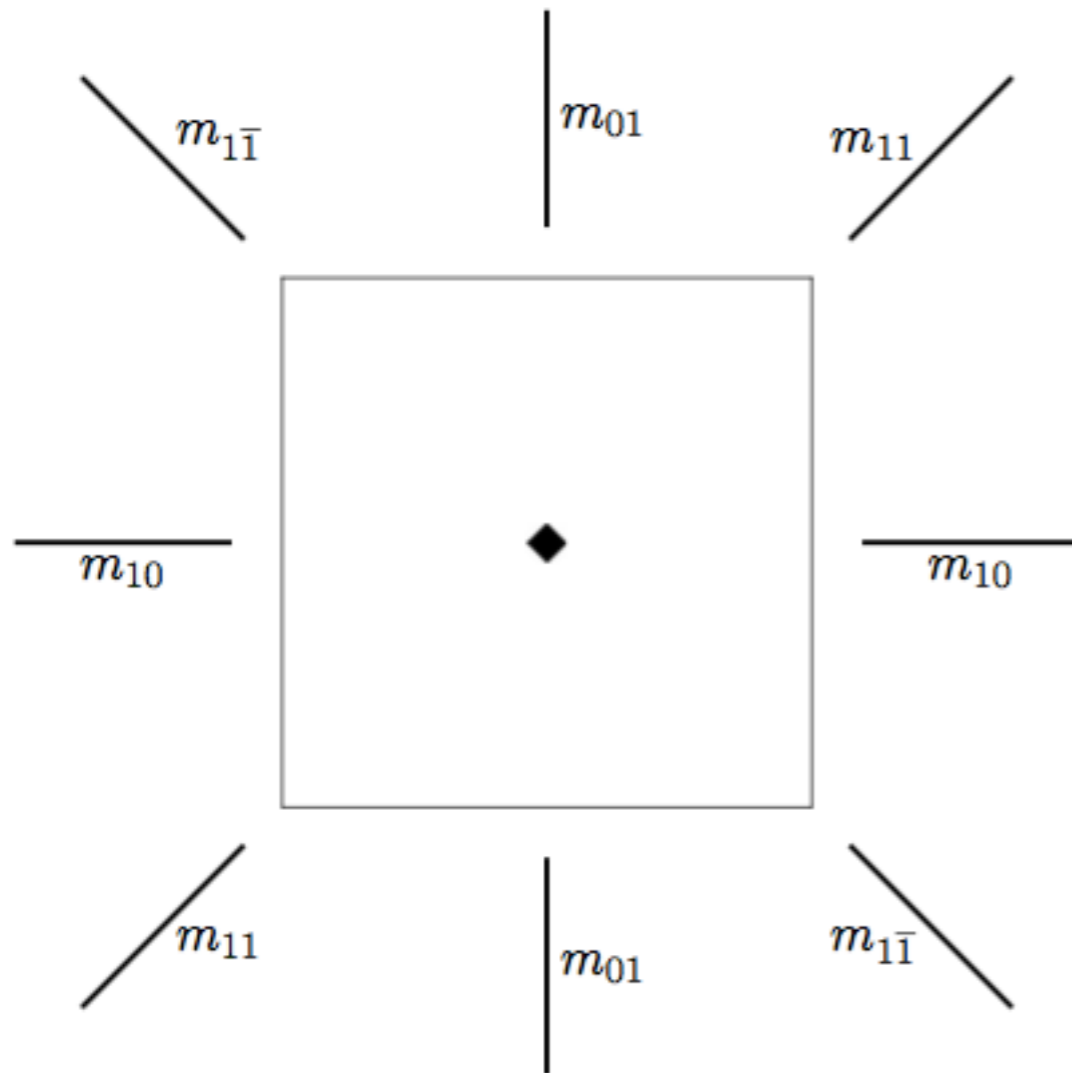
- left-hand side: omitted
- coefficients 0, +1, -1
- different rows in one line, separated by commas

$$\begin{cases} x' = R_{11}x + R_{12}y \\ y' = R_{21}x + R_{22}y \end{cases}$$

$$\begin{bmatrix} 0 & -1 \\ -1 & 1 \end{bmatrix} \longrightarrow \begin{cases} -y, -x+y \\ \bar{y}, \bar{x}+y \end{cases}$$

## Problem 1.2

Consider the symmetry group of the square. Determine:



symmetry operations:  
matrix and  $(x,y)$   
presentation

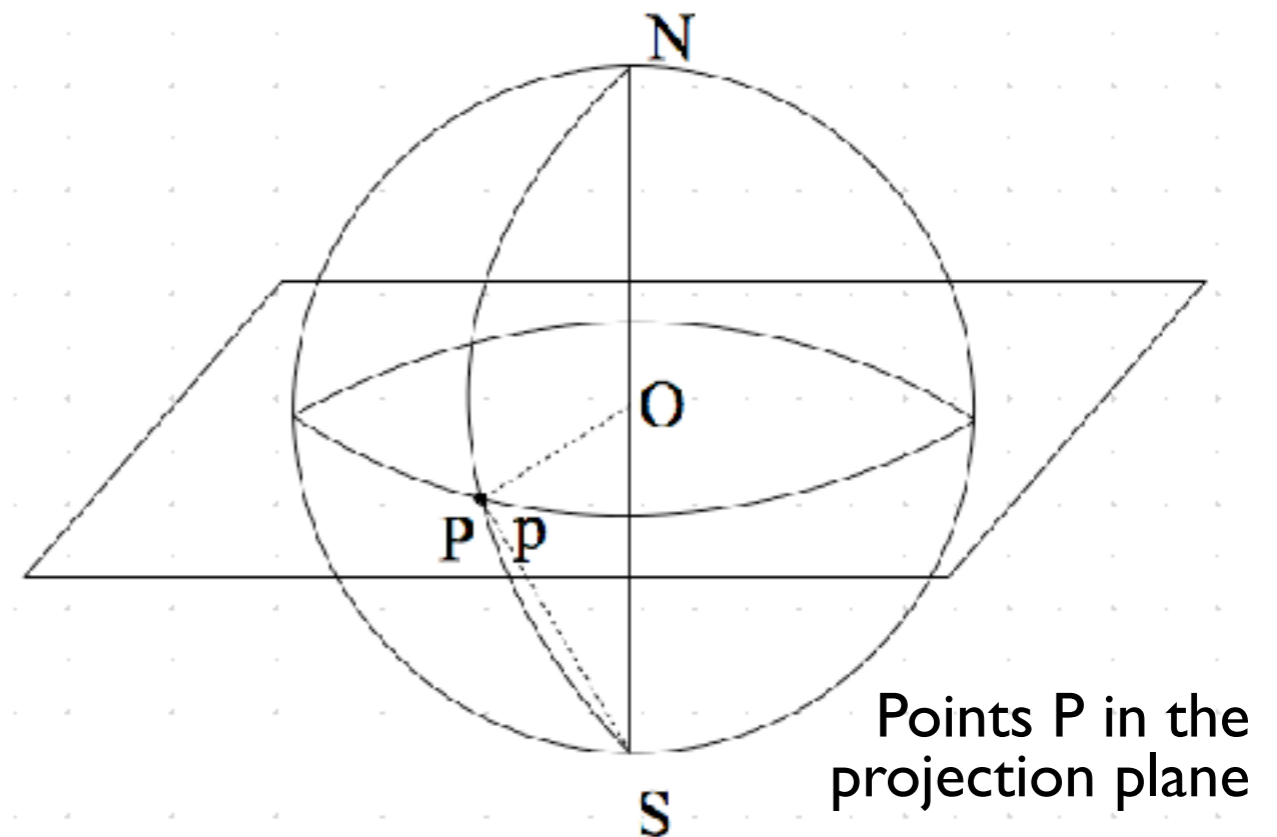
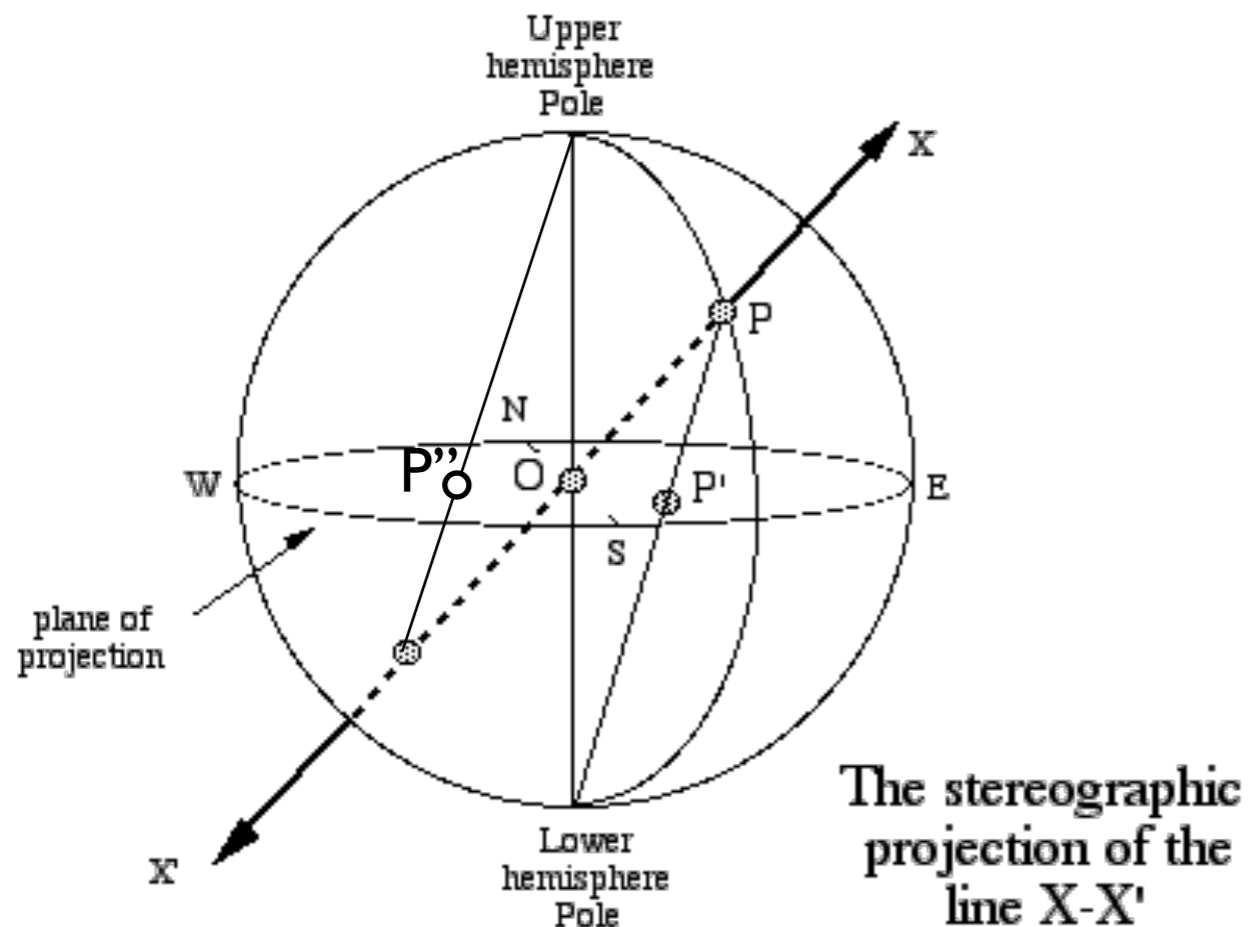
generators

multiplication table

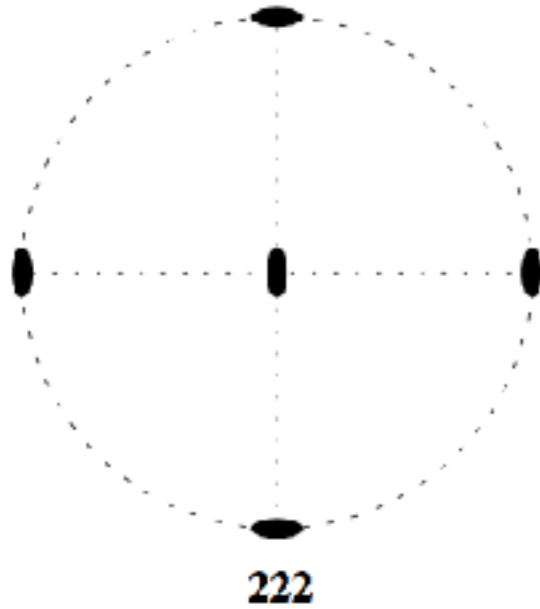
# Visualization of Crystallographic Point Groups (3D)

- general position diagram
- symmetry elements diagram

## Stereographic Projections



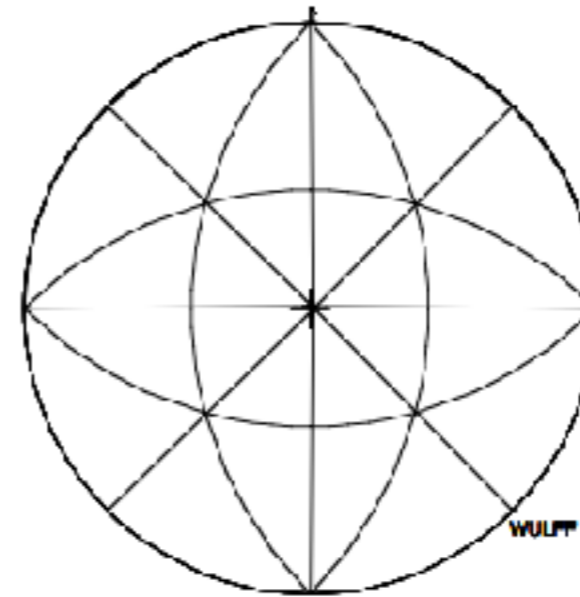
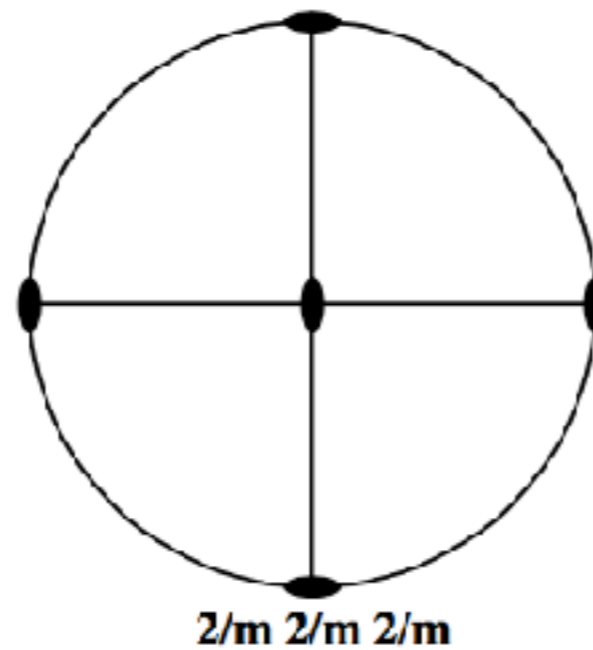
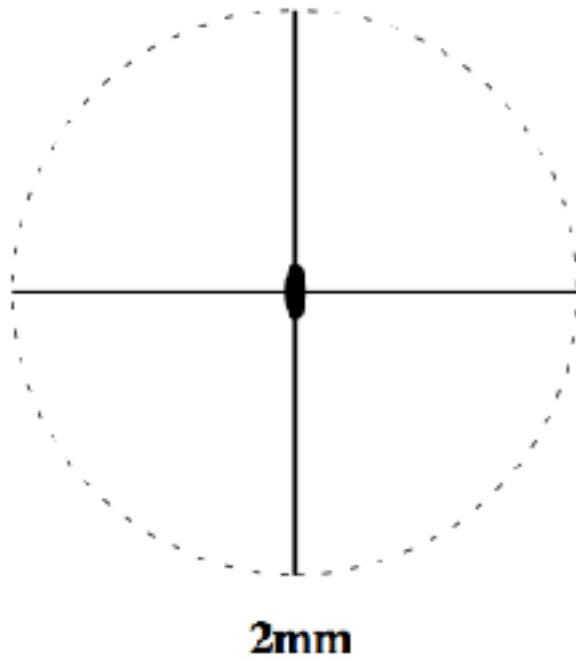
## Rotation axes



## Symmetry-elements diagrams



## Mirror planes

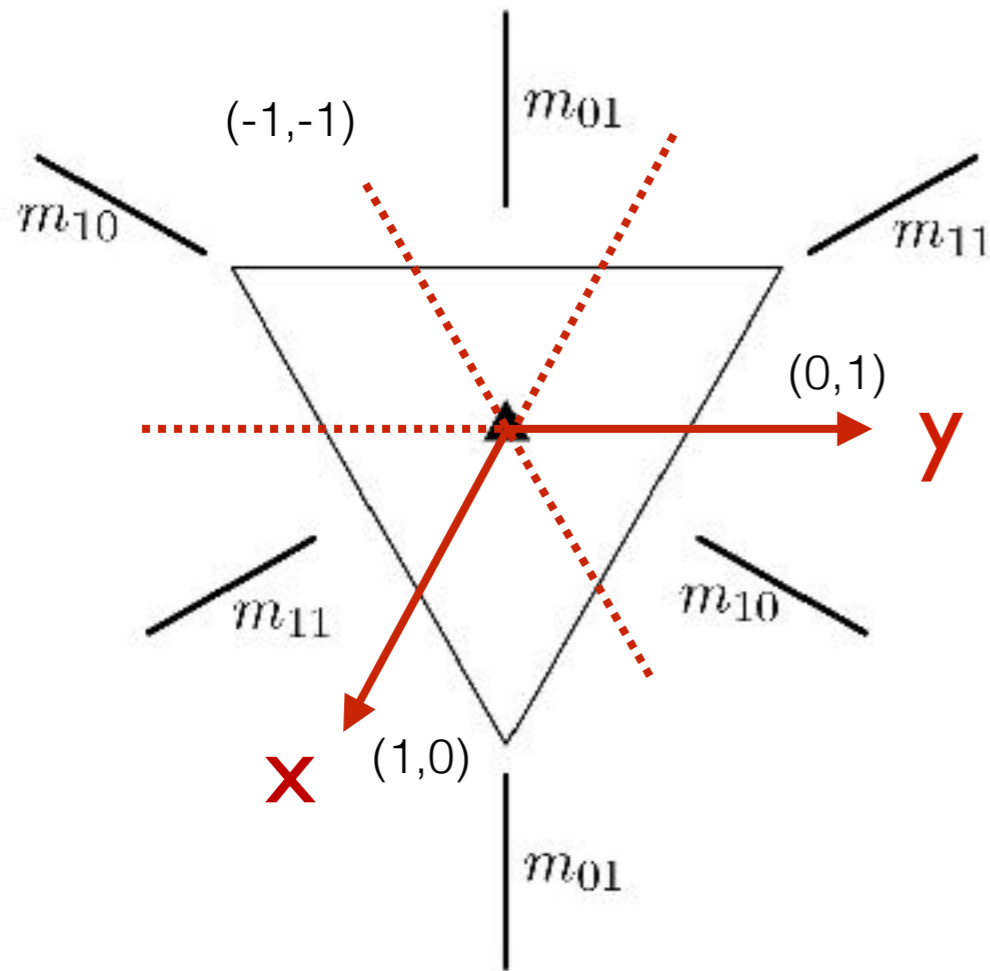


## Combinations of symmetry elements

- line of intersection of any two mirror planes must be a rotation axis.

# EXAMPLE

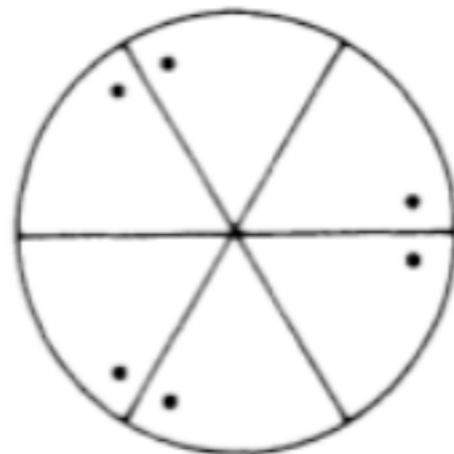
## Stereographic Projections of $3m$



Point group  $3m =$   
 $\{1, 3^+, 3^-, m_{10}, m_{01}, m_{11}\}$

### Stereographic projections diagrams

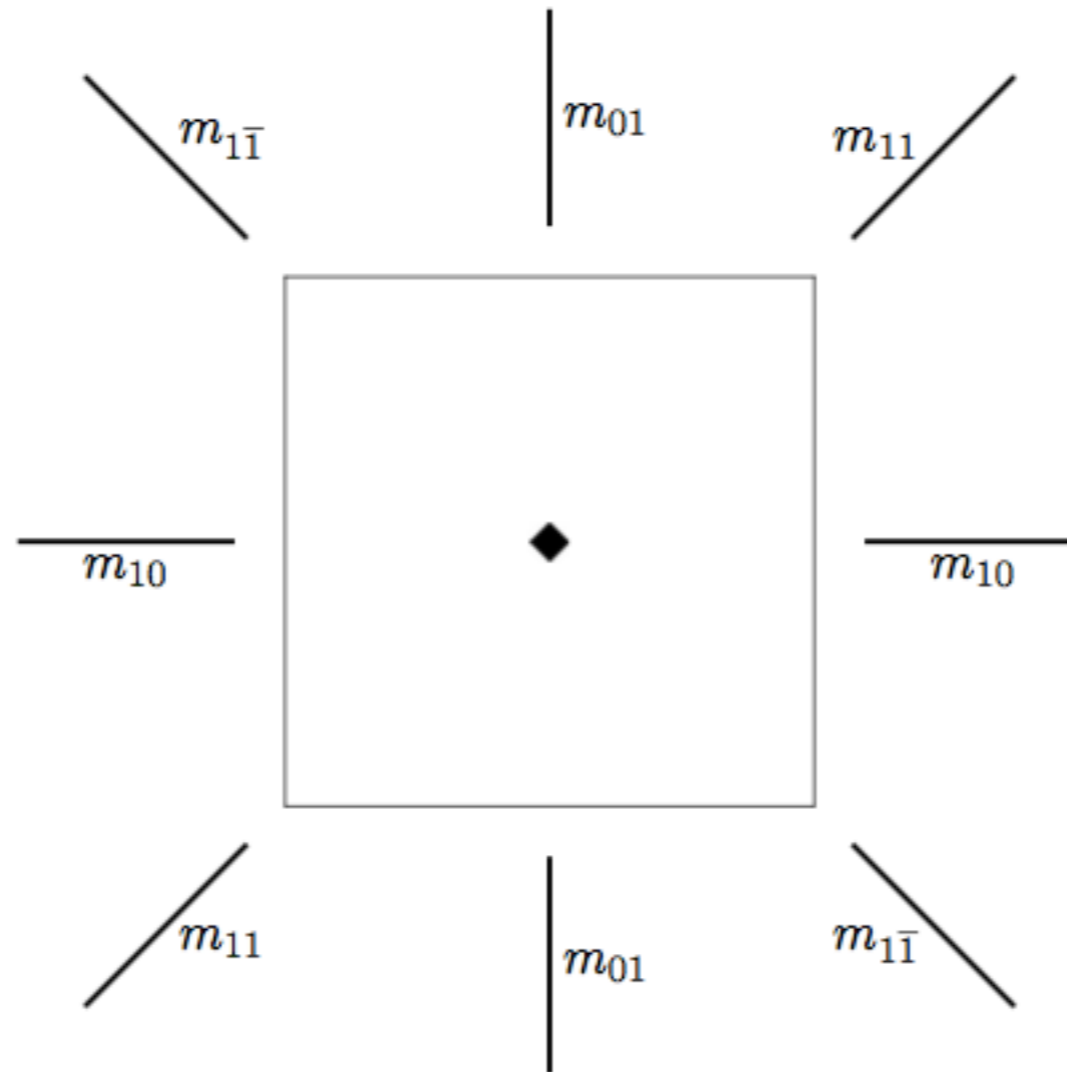
general position



symmetry elements

# Problem 1.2 (cont.)

# Stereographic Projections of $4mm$



general position  
diagram

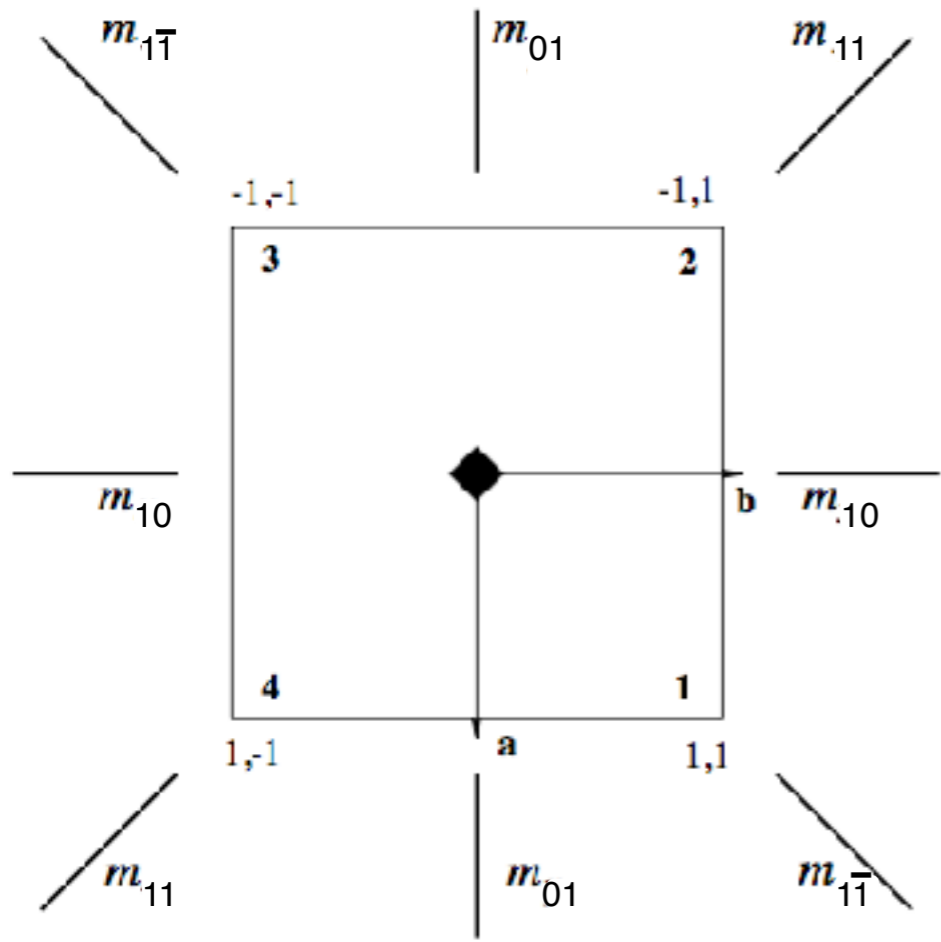
symmetry elements  
diagram

?

?

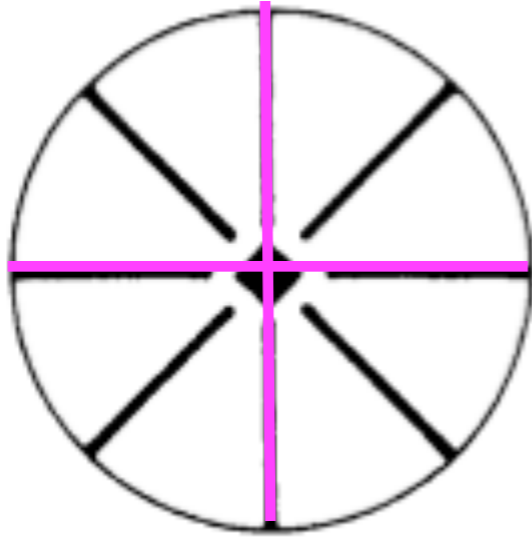
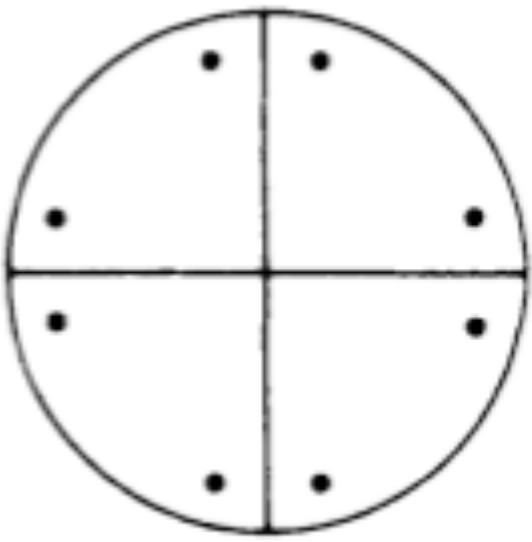


# Conjugate elements

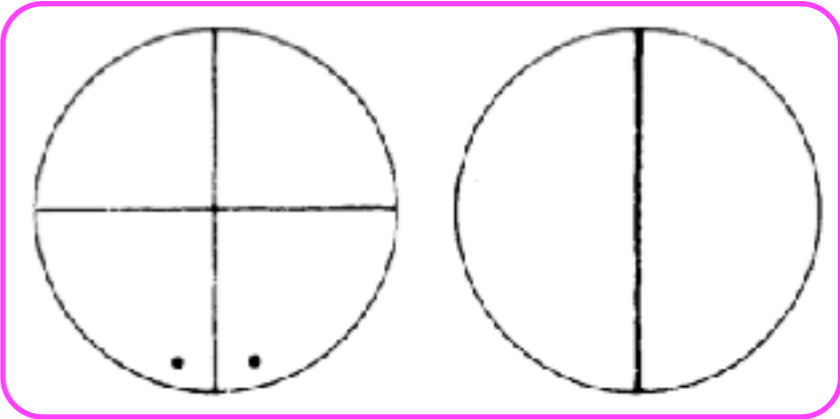


$4^+$   
 $m_{10} \sim m_{01}$

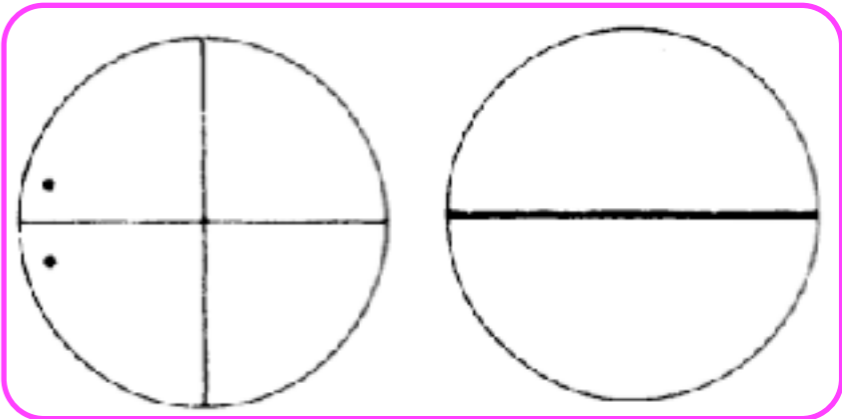
**$4mm$**



$m_{01}$



$m_{01}$



$m_{10}$

$m_{10}$

# Conjugate elements

## Conjugate elements

$g_i \sim g_k$  if  $\exists g: g^{-1}g_i g = g_k$ ,  
where  $g, g_i, g_k, \in G$

## Classes of conjugate elements

$L(g_i) = \{g_j \mid g^{-1}g_i g = g_j, g \in G\}$

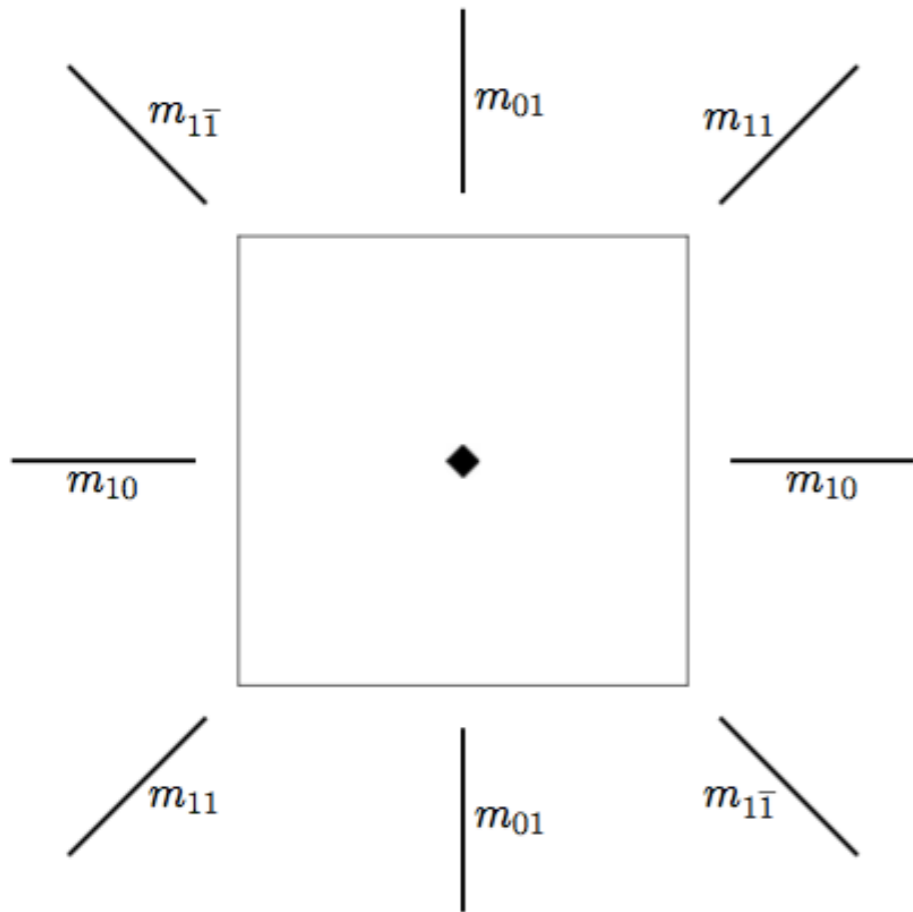
## Conjugation-properties

- (i)  $L(g_i) \cap L(g_j) = \{\emptyset\}$ , if  $g_i \notin L(g_j)$
- (ii)  $|L(g_i)|$  is a divisor of  $|G|$
- (iii)  $L(e) = \{e\}$
- (iv) if  $g_i, g_j \in L$ , then  $(g_i)^k = (g_j)^k = e$

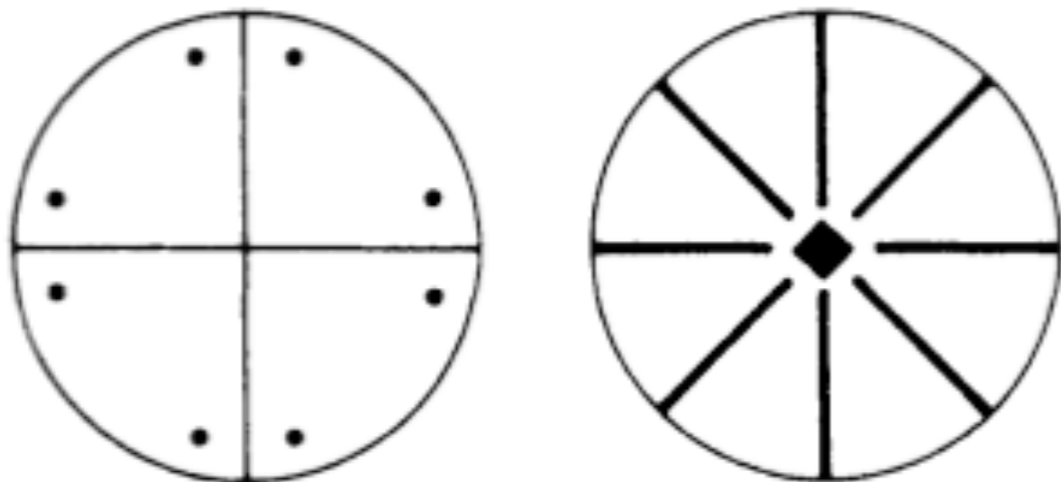
# Problem 1.2 (cont.)

# Classes of conjugate elements

Distribute the symmetry operations of the group of the square **4mm** into classes of conjugate elements



	1	2	4 <sup>+</sup>	4 <sup>-</sup>	m <sub>10</sub>	m <sub>01</sub>	m <sub>11</sub>	m <sub>11</sub> <sup>-</sup>
1	1	2	4 <sup>+</sup>	4 <sup>-</sup>	m <sub>10</sub>	m <sub>01</sub>	m <sub>11</sub>	m <sub>11</sub> <sup>-</sup>
2	2	1	4 <sup>-</sup>	4 <sup>+</sup>	m <sub>01</sub>	m <sub>10</sub>	m <sub>11</sub> <sup>-</sup>	m <sub>11</sub>
4 <sup>+</sup>	4 <sup>+</sup>	4 <sup>-</sup>	2	1	m <sub>11</sub>	m <sub>11</sub> <sup>-</sup>	m <sub>01</sub>	m <sub>10</sub>
4 <sup>-</sup>	4 <sup>-</sup>	4 <sup>+</sup>	1	2	m <sub>11</sub> <sup>-</sup>	m <sub>11</sub>	m <sub>10</sub>	m <sub>01</sub>
m <sub>10</sub>	m <sub>10</sub>	m <sub>01</sub>	m <sub>11</sub> <sup>-</sup>	m <sub>11</sub>	1	2	4 <sup>-</sup>	4 <sup>+</sup>
m <sub>01</sub>	m <sub>01</sub>	m <sub>10</sub>	m <sub>11</sub>	m <sub>11</sub> <sup>-</sup>	2	1	4 <sup>+</sup>	4 <sup>-</sup>
m <sub>11</sub>	m <sub>11</sub>	m <sub>11</sub> <sup>-</sup>	m <sub>10</sub>	m <sub>01</sub>	4 <sup>+</sup>	4 <sup>-</sup>	1	2
m <sub>11</sub> <sup>-</sup>	m <sub>11</sub> <sup>-</sup>	m <sub>11</sub>	m <sub>01</sub>	m <sub>10</sub>	4 <sup>-</sup>	4 <sup>+</sup>	2	1



Hint:  $g_i \sim g_k$  if  $\exists g: g^{-1}g_i g = g_k$

# GROUP-SUBGROUP RELATIONS

- I. Subgroups: index, coset decomposition and normal subgroups
- II. Conjugate subgroups
- III. Group-subgroup graphs

# Subgroups: Some basic results (summary)

## Subgroup $H < G$

1.  $H = \{e, h_1, h_2, \dots, h_k\} \subset G$
2.  $H$  satisfies the group axioms of  $G$

**Proper** subgroups  $H < G$ , and  
trivial subgroup:  $\{e\}, G$

**Index** of the subgroup  $H$  in  $G$ :  $[i] = |G|/|H|$   
(order of  $G$ )/(order of  $H$ )

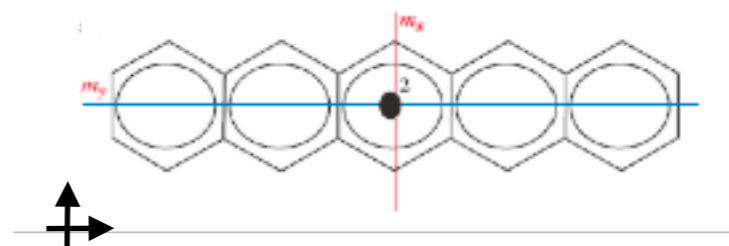
**Maximal** subgroup  $H$  of  $G$

NO subgroup  $Z$  exists such that:  
 $H < Z < G$

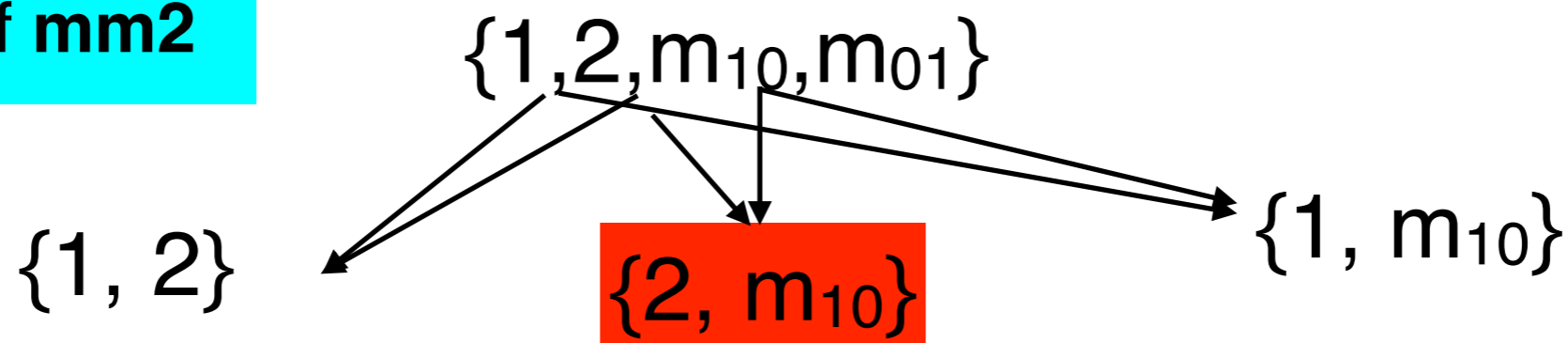
# Example

# Subgroups of point groups

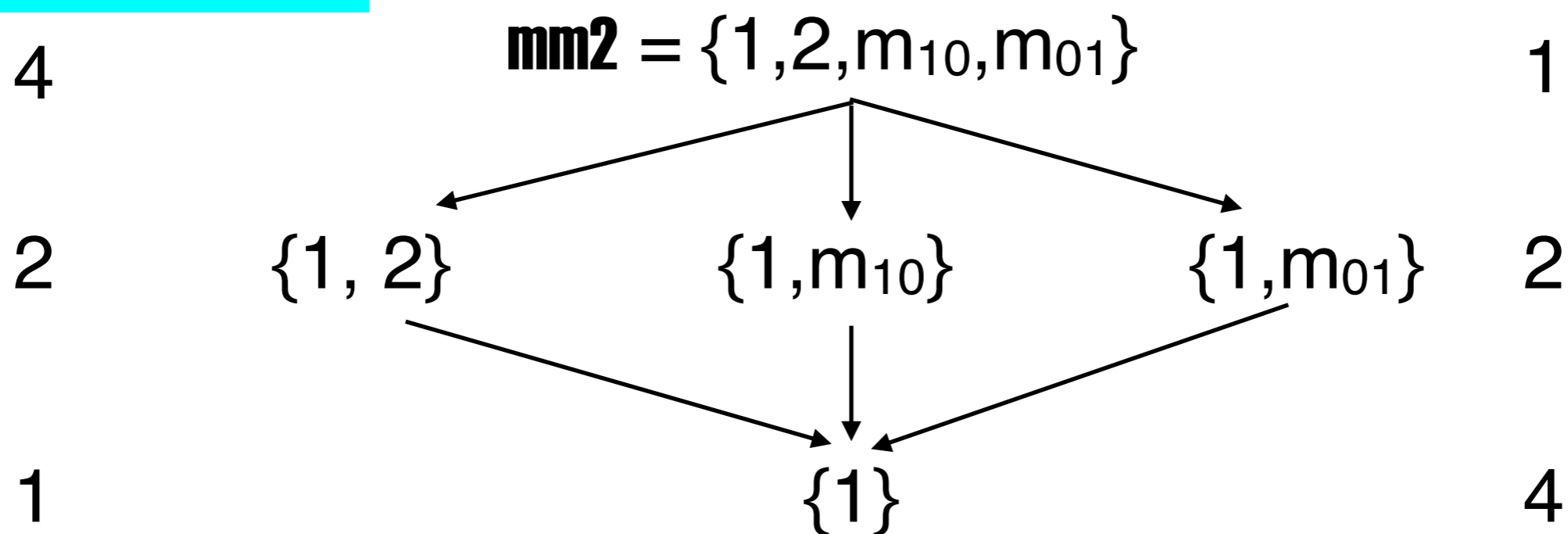
Molecule of pentacene



## Subgroups of mm2



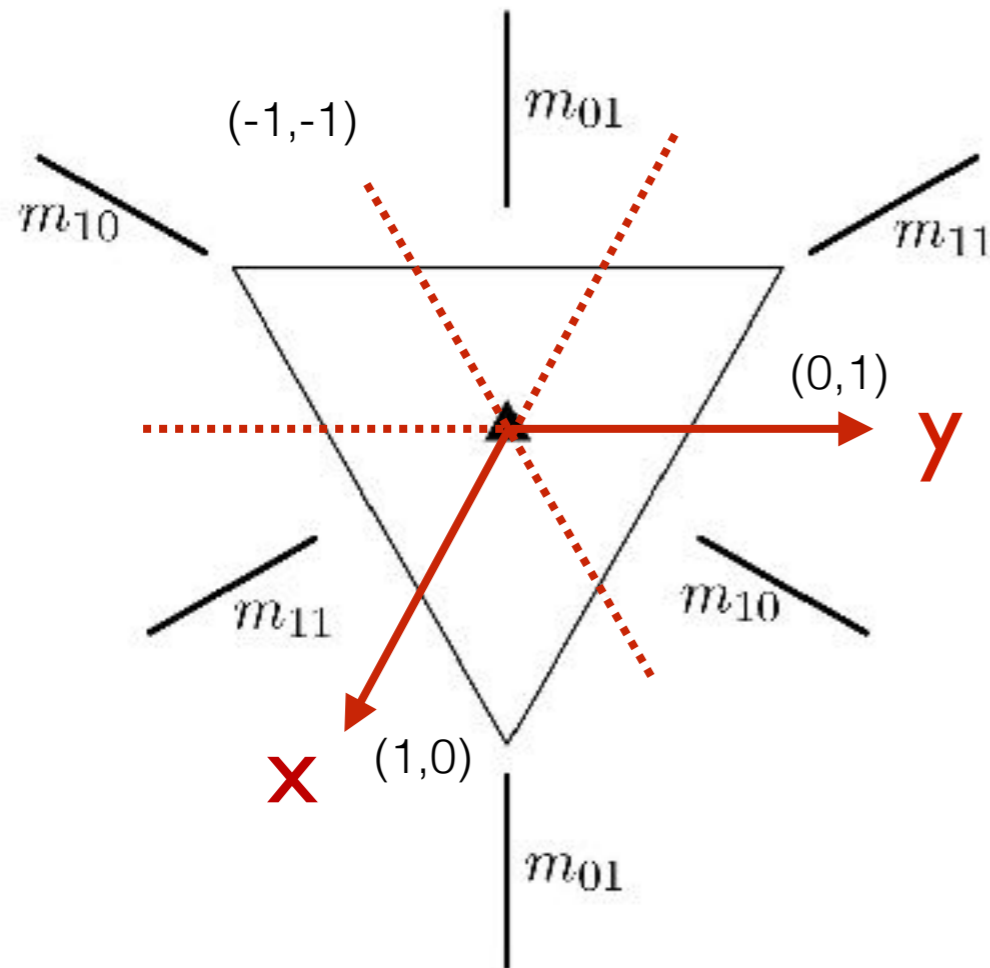
## Subgroup graph



# Problem 1.3

(i) Consider the group of the equilateral triangle and determine its subgroups;

(ii) Construct the maximal subgroup graph of  $3m$



	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
1	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
$3^+$	$3^+$	$3^-$	1	$m_{11}$	$m_{10}$	$m_{01}$
$3^-$	$3^-$	1	$3^+$	$m_{01}$	$m_{11}$	$m_{10}$
$m_{10}$	$m_{10}$	$m_{01}$	$m_{11}$	1	$3^+$	$3^-$
$m_{01}$	$m_{01}$	$m_{11}$	$m_{10}$	$3^-$	1	$3^+$
$m_{11}$	$m_{11}$	$m_{10}$	$m_{01}$	$3^+$	$3^-$	1

Multiplication table of  $3m$

# Coset decomposition $G:H$

Group-subgroup pair  $H < G$

left coset  
decomposition

$$G = H + g_2H + \dots + g_mH, \quad g_i \notin H, \\ m = \text{index of } H \text{ in } G$$

right coset  
decomposition

$$G = H + Hg_2 + \dots + Hg_m, \quad g_i \notin H \\ m = \text{index of } H \text{ in } G$$

## Coset decomposition-properties

- (i)  $g_iH \cap g_jH = \{\emptyset\}$ , if  $g_i \notin g_jH$
- (ii)  $|g_iH| = |H|$
- (iii)  $g_iH = g_jH$ ,  $g_i \in g_jH$



# Coset decomposition $G:H$

Normal  
subgroups

$$Hg_j = g_jH, \text{ for all } g_j = 1, \dots, [i]$$

Theorem of Lagrange

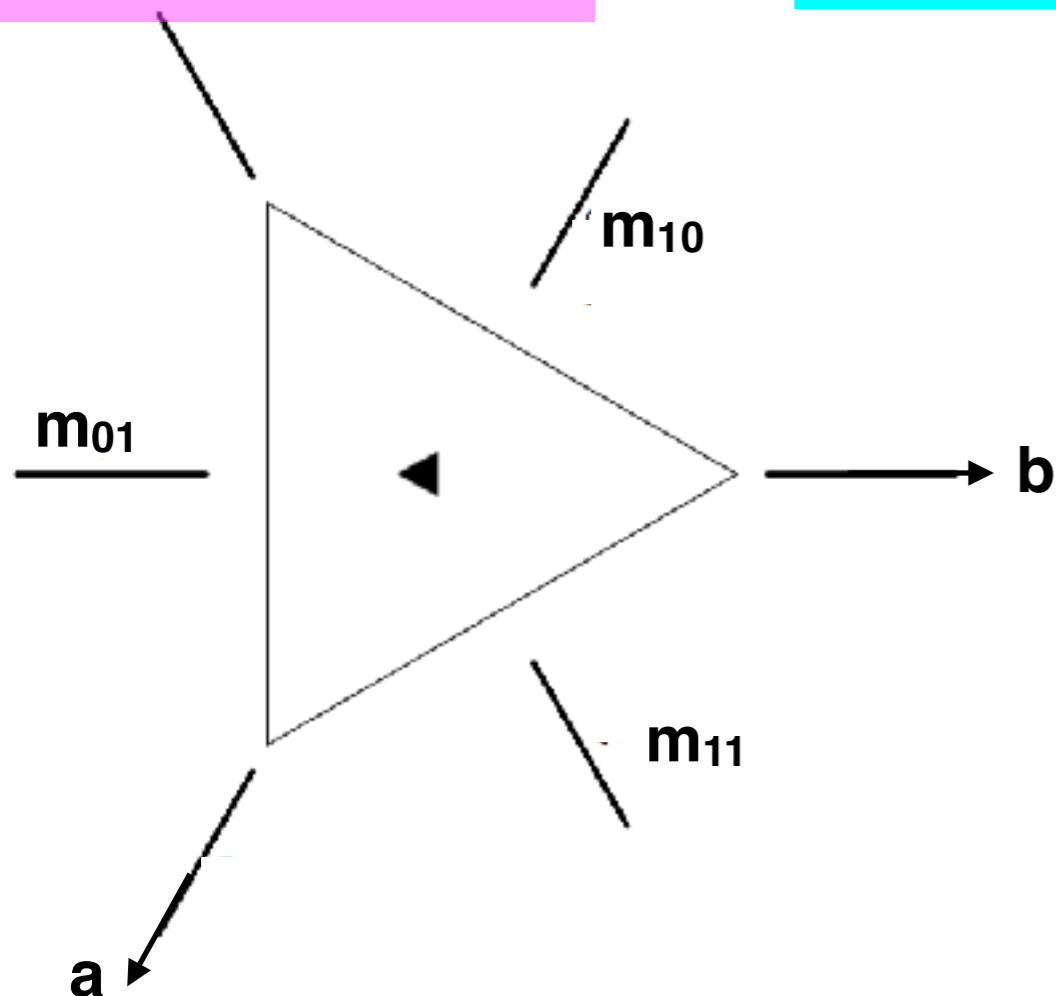
group  $G$  of order  $|G|$   
subgroup  $H < G$  of order  $|H|$  then  $|H|$  is a divisor of  $|G|$   
and  $[i] = |G:H|$

Corollary

The order  $k$  of any  
element of  $G$ ,  
 $g^k = e$ , is a divisor of  $|G|$

Example:

## Coset decompositions of $3m$



	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
1	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
$3^+$	$3^+$	$3^-$	1	$m_{11}$	$m_{10}$	$m_{01}$
$3^-$	$3^-$	1	$3^+$	$m_{01}$	$m_{11}$	$m_{10}$
$m_{10}$	$m_{10}$	$m_{01}$	$m_{11}$	1	$3^+$	$3^-$
$m_{01}$	$m_{01}$	$m_{11}$	$m_{10}$	$3^-$	1	$3^+$
$m_{11}$	$m_{11}$	$m_{10}$	$m_{01}$	$3^+$	$3^-$	1

Multiplication table of  $3m$

Consider the subgroup  $\{1, m_{10}\}$  of  $3m$  of index 3. Write down and compare the right and left coset decompositions of  $3m$  with respect to  $\{1, m_{10}\}$ .

### Problem 1.4

Demonstrate that  $H$  is always a normal subgroup if  $|G:H|=2$ .

# Conjugate subgroups

## Conjugate subgroups

Let  $H_1 < G, H_2 < G$

then,  $H_1 \sim H_2$ , if  $\exists g \in G: g^{-1}H_1g = H_2$

(i) Classes of conjugate subgroups:  $L(H)$

(ii) If  $H_1 \sim H_2$ , then  $H_1 \cong H_2$

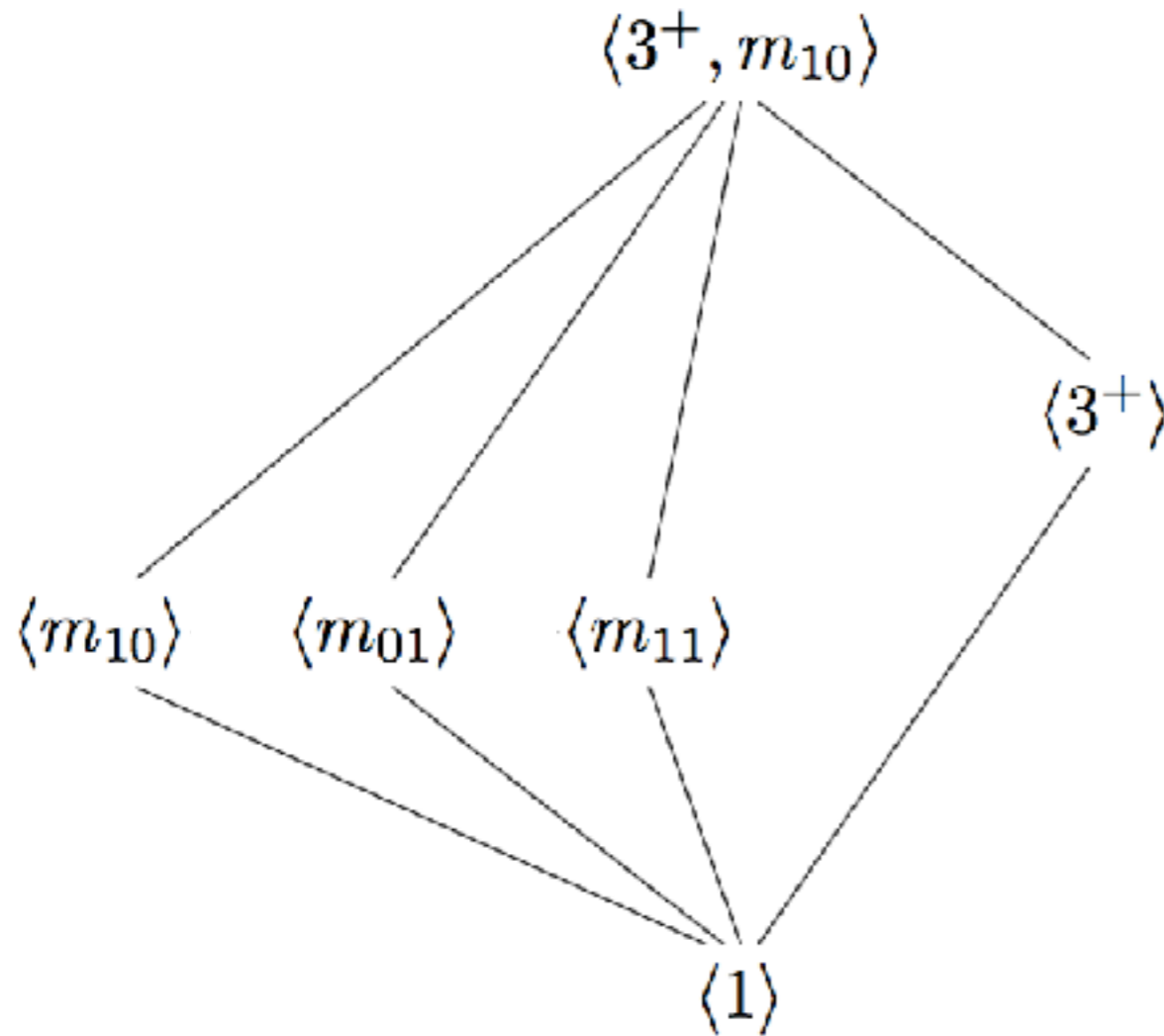
(iii)  $|L(H)|$  is a divisor of  $|G|/|H|$

## Normal subgroup

$H \triangleleft G$ , if  $g^{-1}Hg = H$ , for  $\forall g \in G$

## Problem 1.3 (cont.)

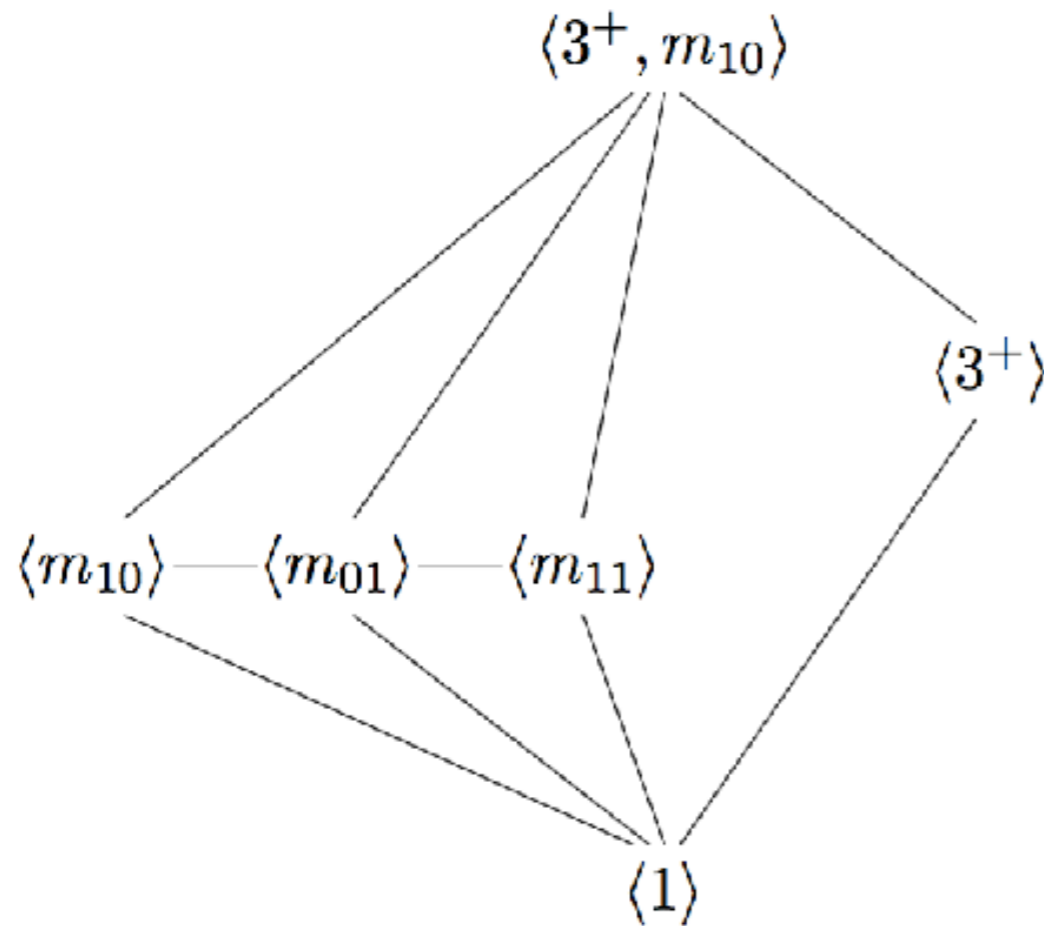
Consider the subgroups of  $3m$  and distribute them into classes of conjugate subgroups



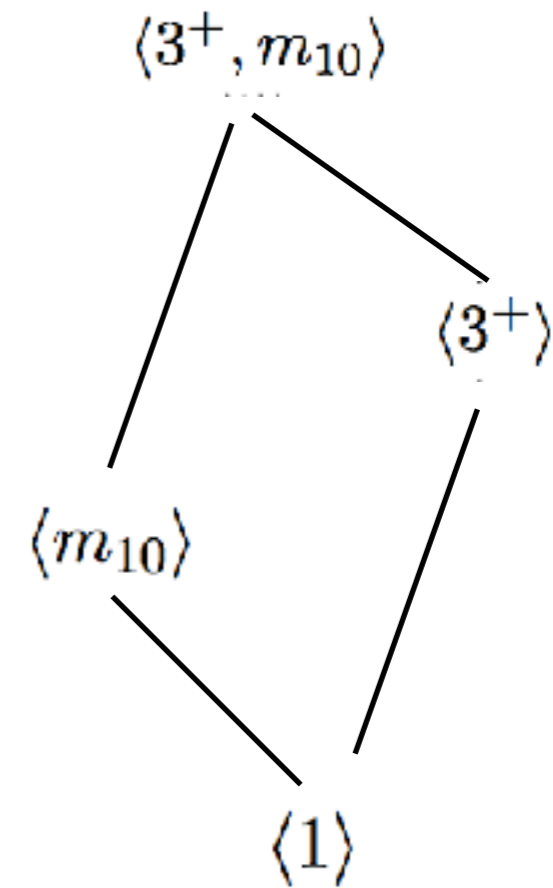
	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
1	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
$3^+$	$3^+$	$3^-$	1	$m_{11}$	$m_{10}$	$m_{01}$
$3^-$	$3^-$	1	$3^+$	$m_{01}$	$m_{11}$	$m_{10}$
$m_{10}$	$m_{10}$	$m_{01}$	$m_{11}$	1	$3^+$	$3^-$
$m_{01}$	$m_{01}$	$m_{11}$	$m_{10}$	$3^-$	1	$3^+$
$m_{11}$	$m_{11}$	$m_{10}$	$m_{01}$	$3^+$	$3^-$	1

Multiplication table of  $3m$

# Complete and contracted group-subgroup graphs



Complete graph of maximal subgroups



Contracted graph of maximal subgroups

# Group-subgroup relations of point groups

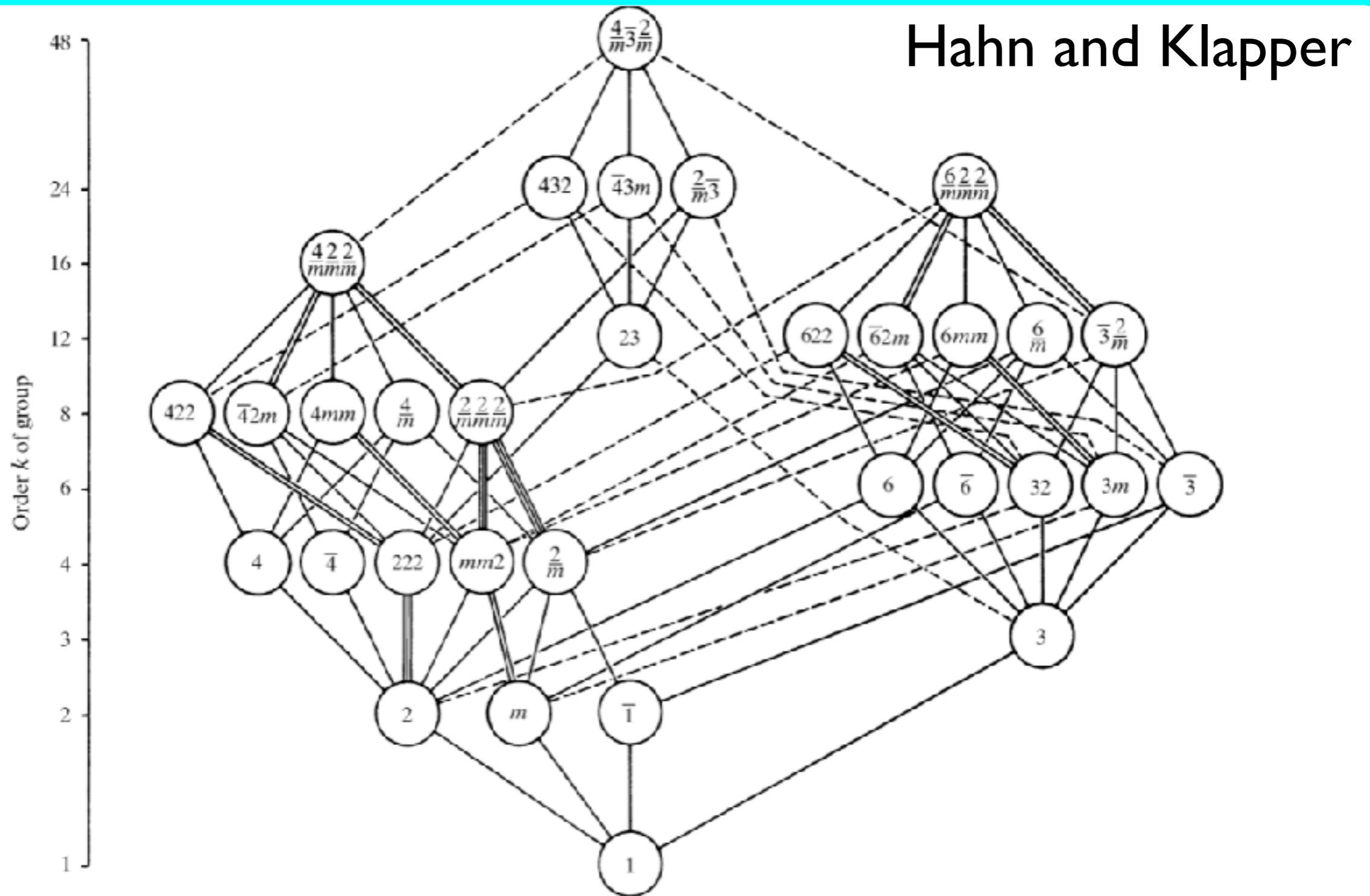


Fig. 10.1.3.2. Maximal subgroups and minimal supergroups of the three-dimensional crystallographic point groups. Solid lines indicate maximal normal subgroups; double or triple solid lines mean that there are two or three maximal normal subgroups with the same symbol. Dashed lines refer to sets of maximal conjugate subgroups. The group orders are given on the left. Full Hermann-Mauguin symbols are used.

# FACTOR GROUP

# Factor group

product of sets:

$$G = \{e, g_2, \dots, g_p\}$$

$$\begin{cases} K_j = \{g_{j1}, g_{j2}, \dots, g_{jn}\} \\ K_k = \{g_{k1}, g_{k2}, \dots, g_{km}\} \end{cases}$$

$$K_j K_k = \{g_{jp}g_{kq} = g_r \mid g_{jp} \in K_j, g_{kq} \in K_k\}$$

Each element  $g_r$  is taken only once in the product  $K_j K_k$

factor group  $G/H$ :

$$H \triangleleft G$$

$$G = H + g_2H + \dots + g_mH, g_i \notin H,$$

$$G/H = \{H, g_2H, \dots, g_mH\}$$

group axioms:

$$(i) (g_iH)(g_jH) = g_{ij}H$$

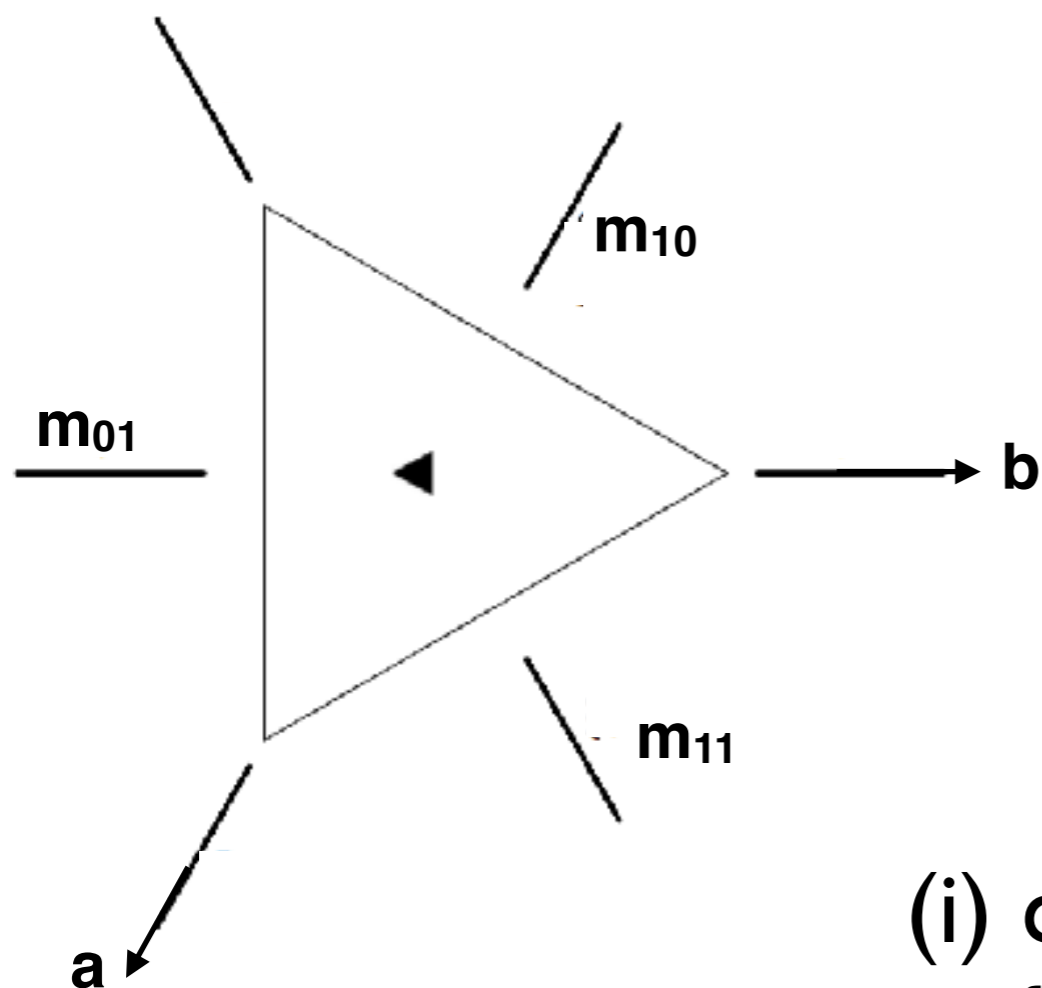
$$(ii) (g_iH)H = H(g_iH) = g_iH$$

$$(iii) (g_iH)^{-1} = (g_i^{-1})H$$



Example:

Factor group  $3m/3$



	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
1	1	$3^+$	$3^-$	$m_{10}$	$m_{01}$	$m_{11}$
$3^+$	$3^+$	$3^-$	1	$m_{11}$	$m_{10}$	$m_{01}$
$3^-$	$3^-$	1	$3^+$	$m_{01}$	$m_{11}$	$m_{10}$
$m_{10}$	$m_{10}$	$m_{01}$	$m_{11}$	1	$3^+$	$3^-$
$m_{01}$	$m_{01}$	$m_{11}$	$m_{10}$	$3^-$	1	$3^+$
$m_{11}$	$m_{11}$	$m_{10}$	$m_{01}$	$3^+$	$3^-$	1

Multiplication table of  $3m$

(i) coset decomposition  
 $\{1, 3^+, 3^-\}, \{m_{10}, m_{01}, m_{11}\}$

E

A

(ii) factor group and multiplication table

	E	A
E	E	A
A	A	E

## Problem 1.5

Consider the normal subgroup  $\{e, 2\}$  of  $4mm$ , of index 4, and the coset decomposition  $4mm: \{e, 2\}$ :

- (3) Show that the cosets of the decomposition  $4mm: \{e, 2\}$  fulfill the group axioms and form a factor group
- (4) Multiplication table of the factor group
- (5) A crystallographic point group isomorphic to the factor group?

**GENERAL  
AND SPECIAL  
WYCKOFF POSITIONS**

# Group Actions

## Group Actions

A *group action* of a group  $\mathcal{G}$  on a set  $\Omega = \{\omega \mid \omega \in \Omega\}$  assigns to each pair  $(g, \omega)$  an object  $\omega' = g(\omega)$  of  $\Omega$  such that the following hold:

- (i) applying two group elements  $g$  and  $g'$  consecutively has the same effect as applying the product  $g'g$ , i.e.  $g'(g(\omega)) = (g'g)(\omega)$
- (ii) applying the identity element  $e$  of  $\mathcal{G}$  has no effect on  $\omega$ , i.e.  $e(\omega) = \omega$  for all  $\omega$  in  $\Omega$ .

## Orbit and Stabilizer

The set  $\omega^{\mathcal{G}} := \{g(\omega) \mid g \in \mathcal{G}\}$  of all objects in the orbit of  $\omega$  is called the *orbit of  $\omega$  under  $\mathcal{G}$* .

The set  $S_{\mathcal{G}}(\omega) := \{g \in \mathcal{G} \mid g(\omega) = \omega\}$  of group elements that do not move the object  $\omega$  is a subgroup of  $\mathcal{G}$  called the *stabilizer of  $\omega$  in  $\mathcal{G}$* .

## Equivalence classes

Often, two objects  $\omega$  and  $\omega'$  are regarded as *equivalent* if there is a group element moving  $\omega$  to  $\omega'$ .

Via this equivalence relation, the action of  $\mathcal{G}$  partitions the objects in  $\Omega$  into *equivalence classes*.

# General and special Wyckoff positions

Orbit of a point  $X_0$  under  $P$ :  $P(X_0) = \{W X_0, W \in P\}$   
Multiplicity

Site-symmetry group  $S_0 = \{W\}$  of a point  $X_0$

$$W X_0 = X_0$$

a	b	c	$x_0$
d	e	f	$y_0$
g	h	i	$z_0$

 = 

$x_0$
$y_0$
$z_0$

Multiplicity:  $|P|/|S_0|$

General position  $X_0$

$$S_0 = 1 = \{1\}$$

Multiplicity:  $|P|$

Special position  $X_0$

$$S_0 > 1 = \{1, \dots, \}$$

Multiplicity:  $|P|/|S_0|$

Site-symmetry groups: oriented symbols

# Example

## General and special Wyckoff positions

Point group  $2 = \{1, 2_{001}\}$

Site-symmetry group  $S_o = \{W\}$  of a point  $X_o = (0, 0, z)$

$$S_o = 2$$

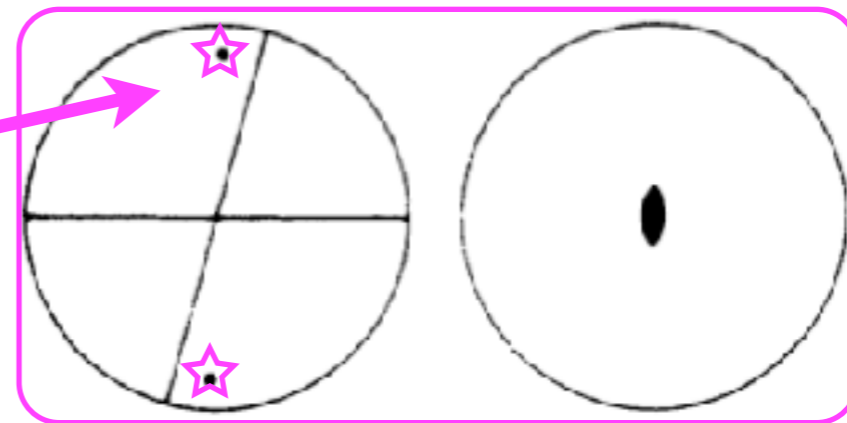
$$WX_o = X_o$$

$$2_{001}: \begin{array}{|c|c|c|c|} \hline -1 & & & 0 \\ \hline & -1 & & 0 \\ \hline & & 1 & z \\ \hline \end{array} = \begin{array}{|c|} \hline 0 \\ \hline 0 \\ \hline z \\ \hline \end{array}$$

Multiplicity:  $|P|/|S_o|$

$$2 \text{ b } 1 \text{ } (x, y, z) \text{ } (-x, -y, z)$$

$$1 \text{ a } 2 \text{ } (0, 0, z)$$



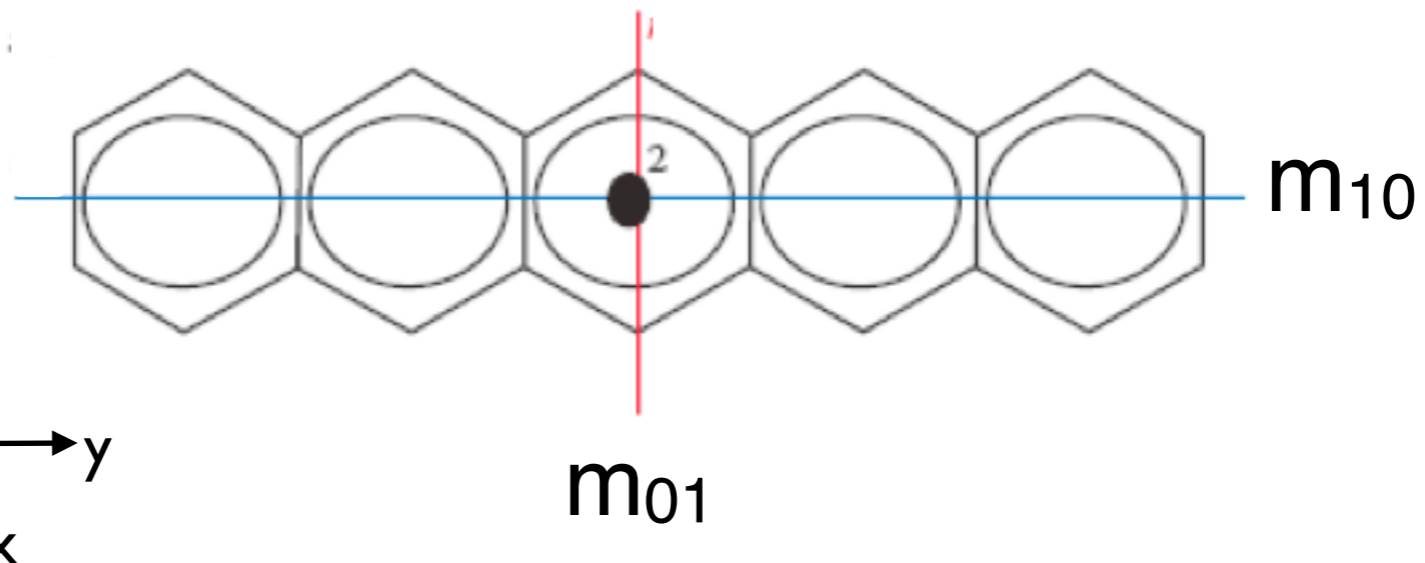
## Problem 1.6

# General and special Wyckoff positions

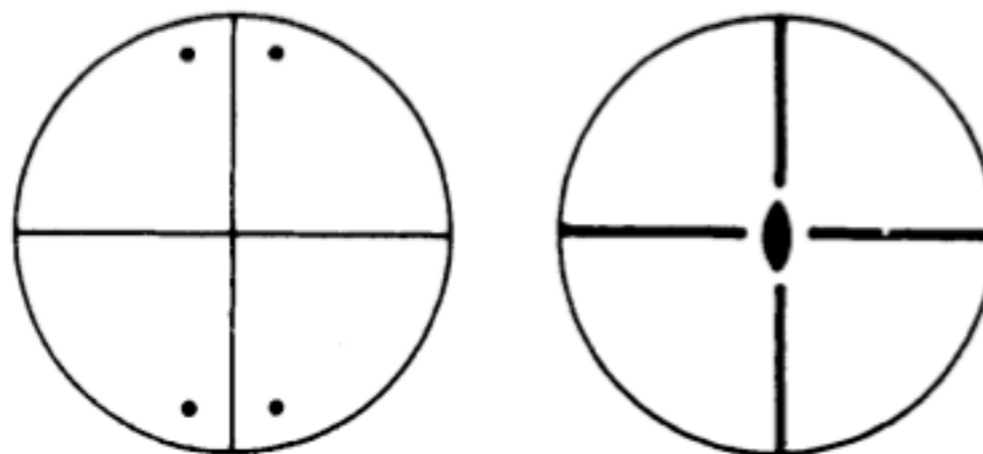
Determine the general and special Wyckoff positions of the group **mm2**

Point group **mm2** =  $\{1, 2, m_{10}, m_{01}\}$

Molecule of  
pentacene



Stereographic projections diagrams



general position

symmetry elements

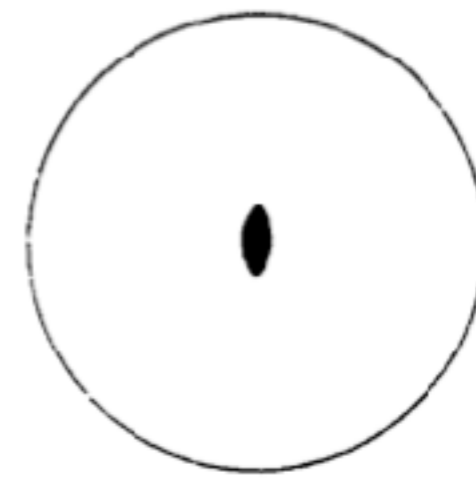
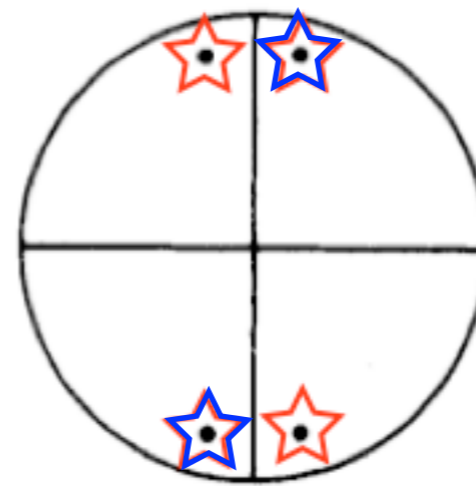
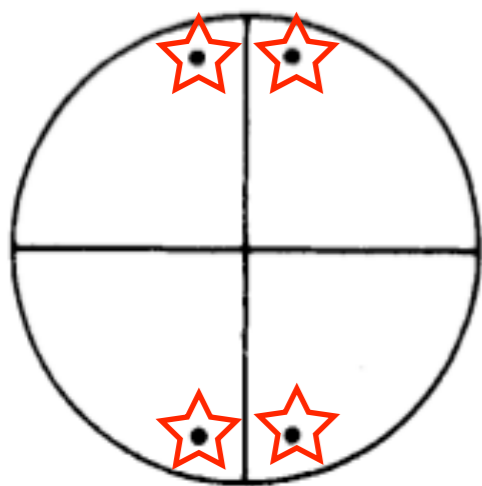
# Group-subgroup relations

# Wyckoff positions splitting schemes

## Group-subgroup pair $mm2 > 2$ , $[i]=2$

$mm2$

2



4 d 1

- $(x, y, z)$
- $(-x, -y, z)$
- $(x, -y, z)$
- $(-x, y, z)$



$x, y, z = x_1, y_1, z_1$  2 b 1  
 $-x, -y, z = -x_1, -y_1, z_1$



$x, -y, z = x_2, y_2, z_2$  2 b 1  
 $-x, y, z = -x_2, -y_2, z_2$



CRYSTALLOGRAPHIC  
POINT GROUPS IN  
2D AND 3D  
(BRIEF OVERVIEW)

# Crystallographic symmetry operations

Crystallographic restriction theorem

The rotational symmetries of a crystal pattern are limited to 2-fold, 3-fold, 4-fold, and 6-fold.

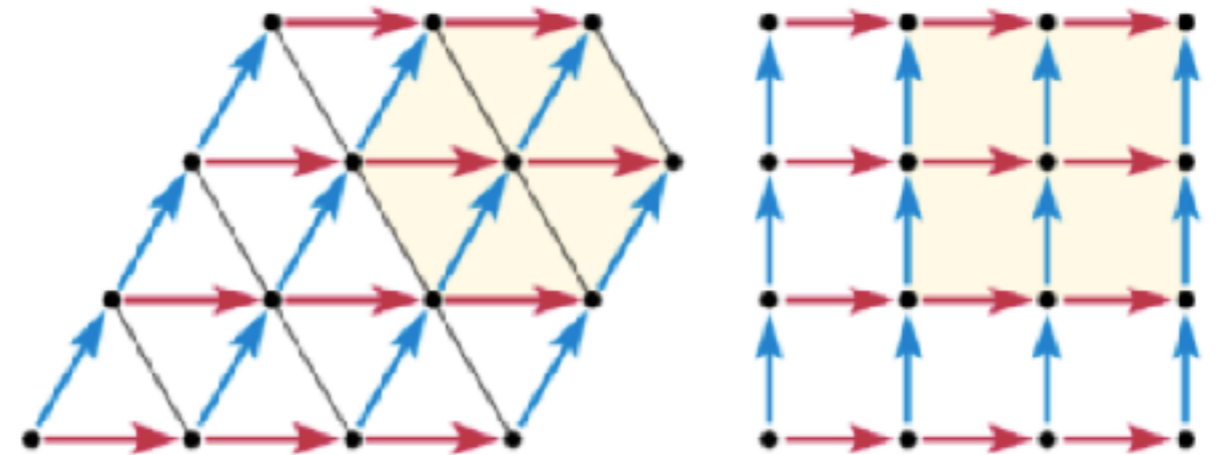
Matrix proof:

Rotation with respect to orthonormal basis

$$R = \begin{bmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{bmatrix}$$

Rotation with respect to lattice basis

$R$ : integer matrix



In a lattice basis, because the rotation must map lattice points to lattice points, each matrix entry — and hence the trace — must be an integer.

$$\text{Tr } R = 2\cos\theta = \text{integer}$$

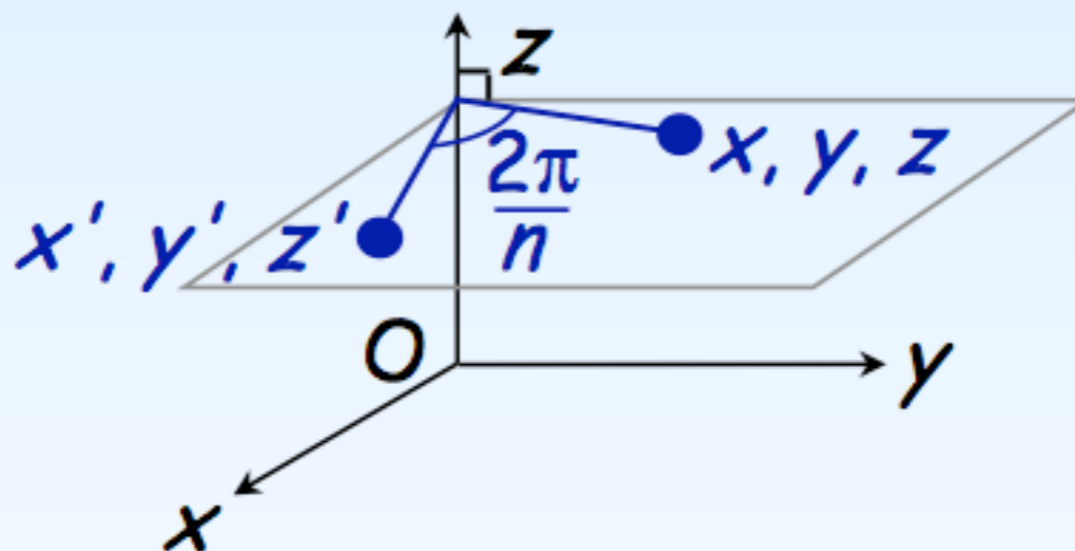
$m$	$m/2 = \cos\theta$	$\theta$ (°)	$n = 360^\circ/\theta$
0	0	90	Fourfold
1	1/2	60	Sixfold
2	1	0 = 360	Identity (onefold)
-1	-1/2	120	Threefold
-2	-1	180	Twofold

# Symmetry operations in 3D

## Rotations

**Rotation** (around an axis)

*Rotation of order  $n$  = rotation by  $\varphi = \frac{2\pi}{n}$*

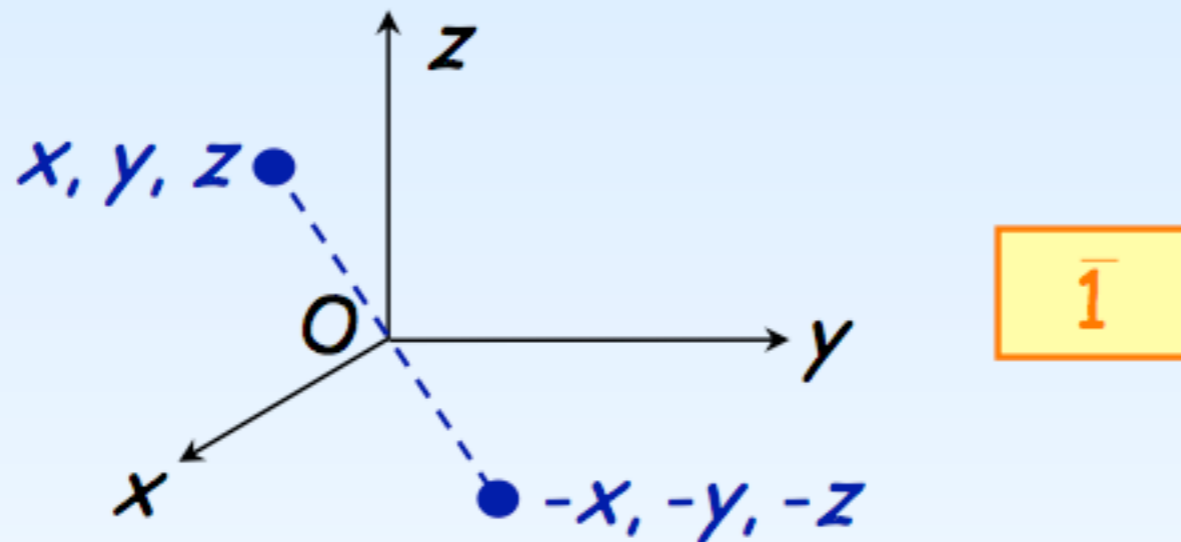


$$\alpha(n) = \begin{pmatrix} \cos \varphi & -\sin \varphi & 0 \\ \sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \text{Det} = +1$$

# Symmetry operations in 3D

## Rotoinversions

**Inversion** (through a point)



*a crystal which has the inversion symmetry is called **centrosymmetrical**.*

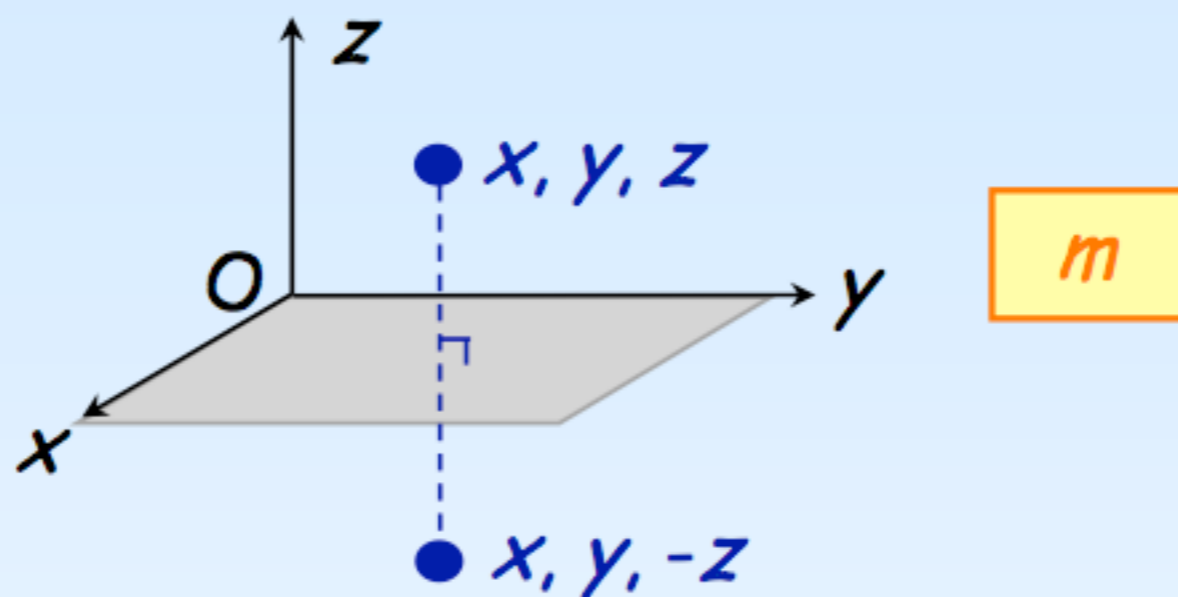
$$\alpha(\bar{1}) = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

$$\text{Det} = -1$$

# Symmetry operations in 3D

## Rotoinversions

Reflection (through a mirror plane)



Note that:  $m = \bar{2}$  !

$$\alpha(\bar{1}) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

$$\text{Det} = -1$$

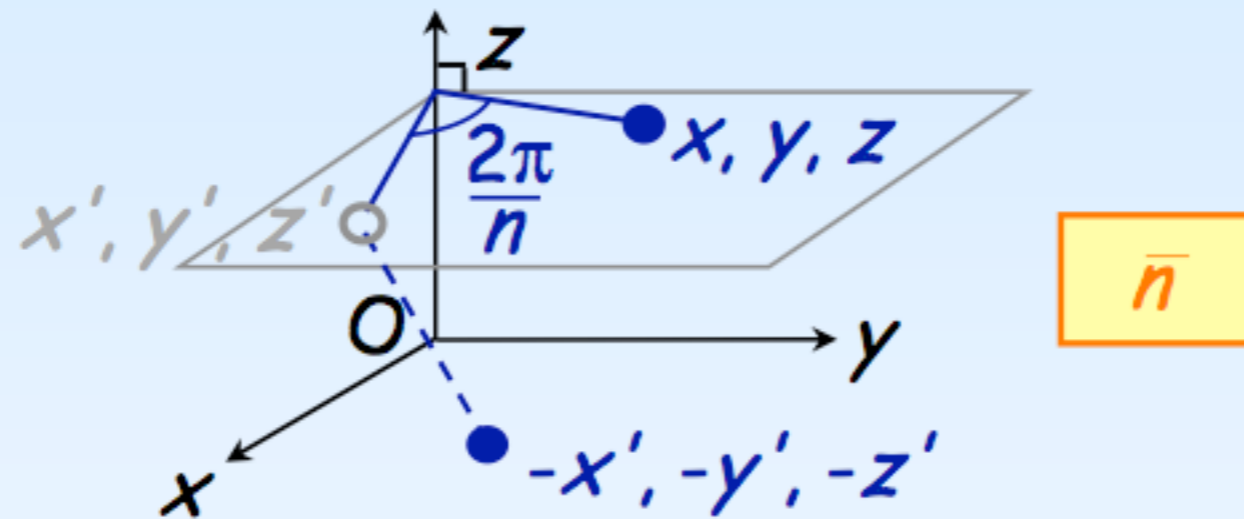
# Symmetry operations in 3D

## Rotoinversions

### Roto-inversion

(around an axis and through a point)

*Rotation followed by an inversion*



$$\alpha(\bar{n}) = \begin{pmatrix} -\cos\varphi & \sin\varphi & 0 \\ -\sin\varphi & -\cos\varphi & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad \text{Det} = -1$$

# Crystallographic Point Groups in 3D

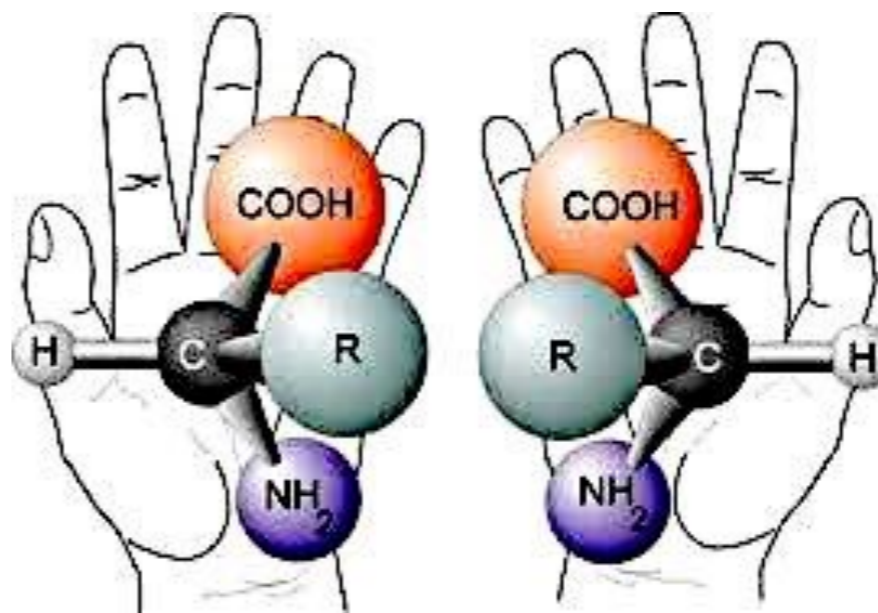
Proper rotations:  $\det = +1$ : 1 2 3 4 6

chirality preserving



Improper rotations:  $\det = -1$ :  $\bar{1}$   $\bar{2}=m$   $\bar{3}$   $\bar{4}$   $\bar{6}$

chirality non-preserving



# Crystallographic Point Groups in 3D

System used in this volume	Point group		Schoenflies symbol
	International symbol		
	Short	Full	
Triclinic	1 $\bar{1}$	1 $\bar{1}$	$C_1$ $C_i(S_2)$
Monoclinic	2 $m$ $2/m$	2 $m$ $\frac{2}{m}$	$C_2$ $C_s(C_{1h})$ $C_{2h}$
Orthorhombic	222 $mm2$ $mmm$	222 $mm2$ $\frac{2\ 2\ 2}{m\ m\ m}$	$D_2(V)$ $C_{2v}$ $D_{2h}(V_h)$
Tetragonal	4 $\bar{4}$ $4/m$ 422 4mm $\bar{4}2m$ 4/mmm	4 $\bar{4}$ $\frac{4}{m}$ 422 4mm $\bar{4}2m$ $\frac{4\ 2\ 2}{m\ m\ m}$	$C_4$ $S_4$ $C_{4h}$ $D_4$ $C_{4v}$ $D_{2d}(V_d)$ $D_{4h}$
Trigonal	3 $\bar{3}$ 32  $3m$ $\bar{3}m$	3 $\bar{3}$ 32  $3m$ $\frac{3\ 2}{m}$	$C_3$ $C_{3i}(S_6)$ $D_3$  $C_{3v}$ $D_{3d}$
Hexagonal	6 $\bar{6}$ $6/m$ 622 6mm $\bar{6}2m$ $6/mmm$	6 $\bar{6}$ $\frac{6}{m}$ 622 6mm $\bar{6}2m$ $\frac{6\ 2\ 2}{m\ m\ m}$	$C_6$ $C_{3h}$ $C_{6h}$ $D_6$ $C_{6v}$ $D_{3h}$ $D_{6h}$
Cubic	23 $m\bar{3}$ 432 $\bar{4}3m$ $m\bar{3}m$	23 $\frac{2\ 3}{m}$ 432 $\bar{4}3m$ $\frac{4\ 3\ 2}{m\ m\ m}$	$T$ $T_h$ $O$ $T_d$ $O_h$

*International Tables for Crystallography, Vol. A*



## Hermann-Mauguin symbolism (International Tables A)

-symmetry elements along *primary, secondary* and *ternary* symmetry directions

rotations: by the axes of rotation

planes: by the normals to the planes

- rotations/planes along the same direction

- full/short Hermann-Mauguin symbols

-symmetry elements in decreasing order of symmetry (except for two cubic groups:  $23$  and  $m\bar{3}$ )

A direction is called a ***symmetry direction*** of a crystal structure if it is parallel to an axis of rotation or rotoinversion or if it is parallel to the normal of a reflection plane.

# Crystal systems and Crystallographic point groups

Crystal system	Crystallographic point groups†	Restrictions on cell parameters	primary	secondary	ternary
Triclinic	1, $\bar{1}$	None	None		
Monoclinic	2, $m$ , $2/m$	$b$ -unique setting $\alpha = \gamma = 90^\circ$	[010] ('unique axis $b$ ') [001] ('unique axis $c$ ')		
		$c$ -unique setting $\alpha = \beta = 90^\circ$			
Orthorhombic	222, $mm2$ , $mmm$	$\alpha = \beta = \gamma = 90^\circ$	[100]	[010]	[001]
Tetragonal	4, $\bar{4}$ , $4/m$ 422, $4mm$ , $\bar{4}2m$ , $4/mmm$	$a = b$ $\alpha = \beta = \gamma = 90^\circ$	[001]	$\left\{ \begin{matrix} [100] \\ [010] \end{matrix} \right\}$	$\left\{ \begin{matrix} [1\bar{1}0] \\ [110] \end{matrix} \right\}$

# Crystal systems and Crystallographic point groups

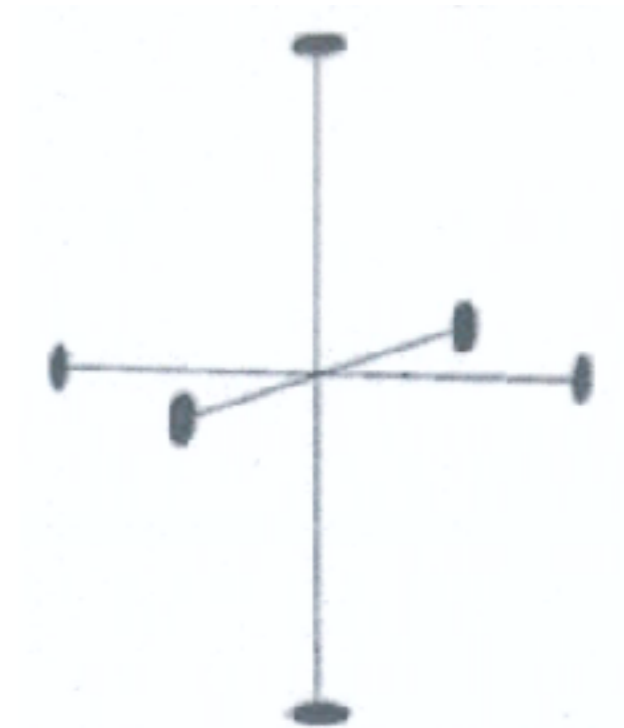
Crystal system	Crystallographic point groups†	Restrictions on cell parameters	primary	secondary	ternary
Trigonal	3, $\bar{3}$ 32, 3m, $\bar{3}m$	$a = b$ $\alpha = \beta = 90^\circ, \gamma = 120^\circ$			
		$a = b = c$ $\alpha = \beta = \gamma$ (rhombohedral axes, primitive cell)	[111]	$\left\{ \begin{array}{l} [1\bar{1}0] \\ [01\bar{1}] \\ [\bar{1}01] \end{array} \right\}$	
		$a = b$ $\alpha = \beta = 90^\circ, \gamma = 120^\circ$ (hexagonal axes, triple obverse cell)	[001]	$\left\{ \begin{array}{l} [100] \\ [010] \\ [\bar{1}\bar{1}0] \end{array} \right\}$	
Hexagonal	6, $\bar{6}$ , $6/m$ 622, 6mm, $\bar{6}2m$ , $6/mmm$	$a = b$ $\alpha = \beta = 90^\circ, \gamma = 120^\circ$	[001]	$\left\{ \begin{array}{l} [100] \\ [010] \\ [\bar{1}\bar{1}0] \end{array} \right\}$	$\left\{ \begin{array}{l} [1\bar{1}0] \\ [120] \\ [\bar{2}\bar{1}0] \end{array} \right\}$
Cubic	23, $m\bar{3}$ 432, $43m$ , $m\bar{3}m$	$a = b = c$ $\alpha = \beta = \gamma = 90^\circ$	$\left\{ \begin{array}{l} [100] \\ [010] \\ [001] \end{array} \right\}$	$\left\{ \begin{array}{l} [111] \\ [1\bar{1}\bar{1}] \\ [\bar{1}\bar{1}1] \\ [\bar{1}\bar{1}\bar{1}] \end{array} \right\}$	$\left\{ \begin{array}{ll} [1\bar{1}0] & [110] \\ [01\bar{1}] & [011] \\ [\bar{1}01] & [101] \end{array} \right\}$

# Rotation Crystallographic Point Groups in 3D

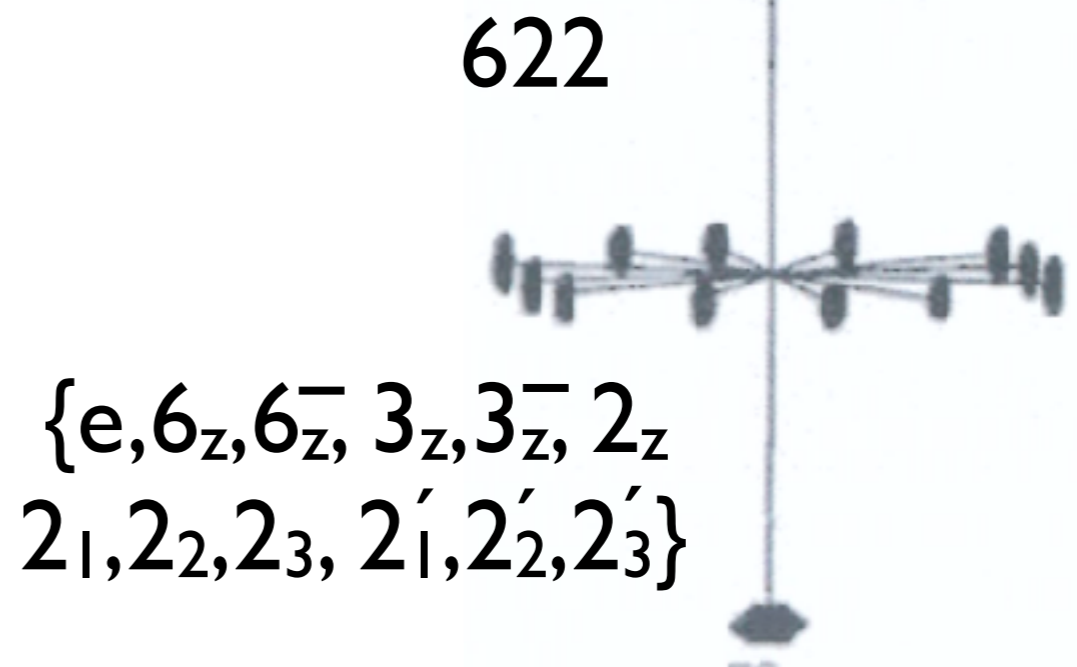
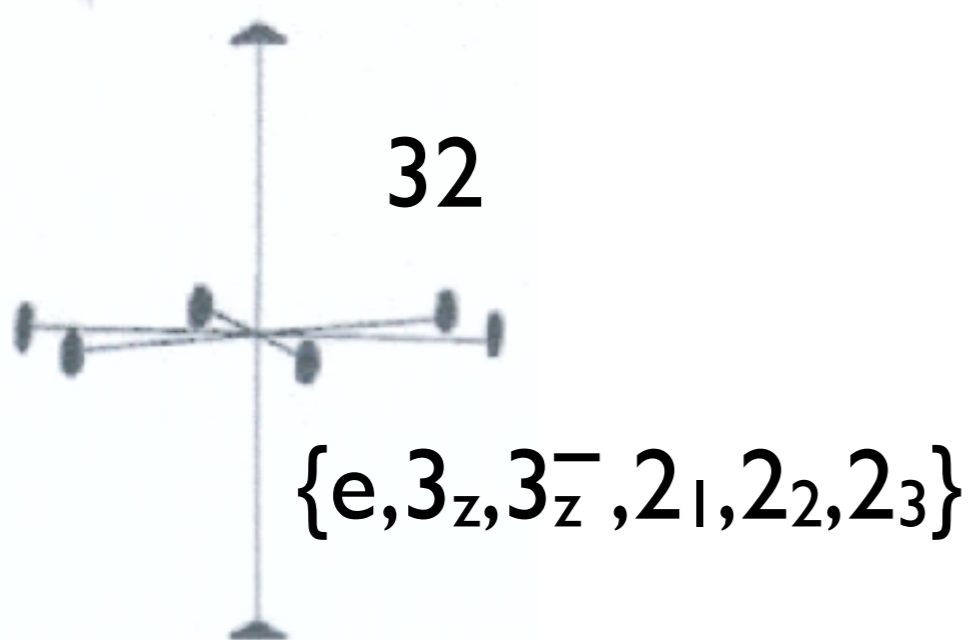
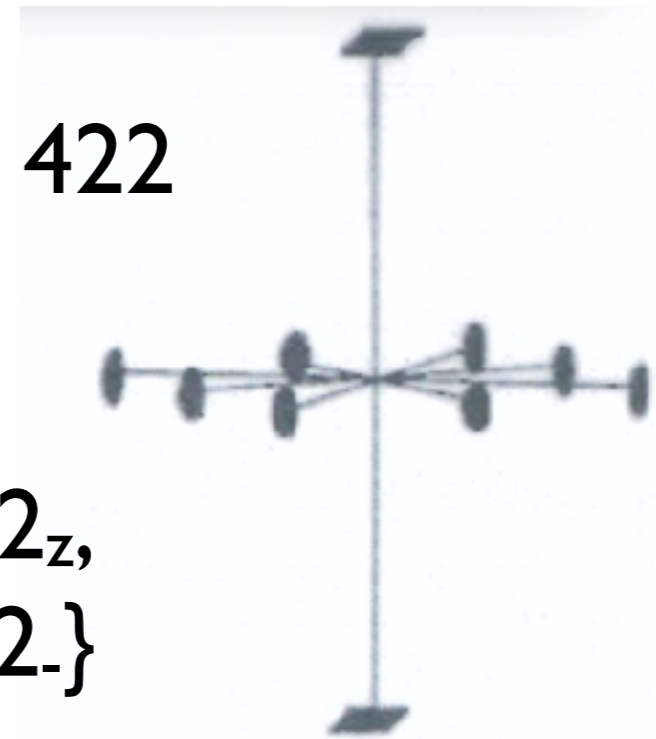
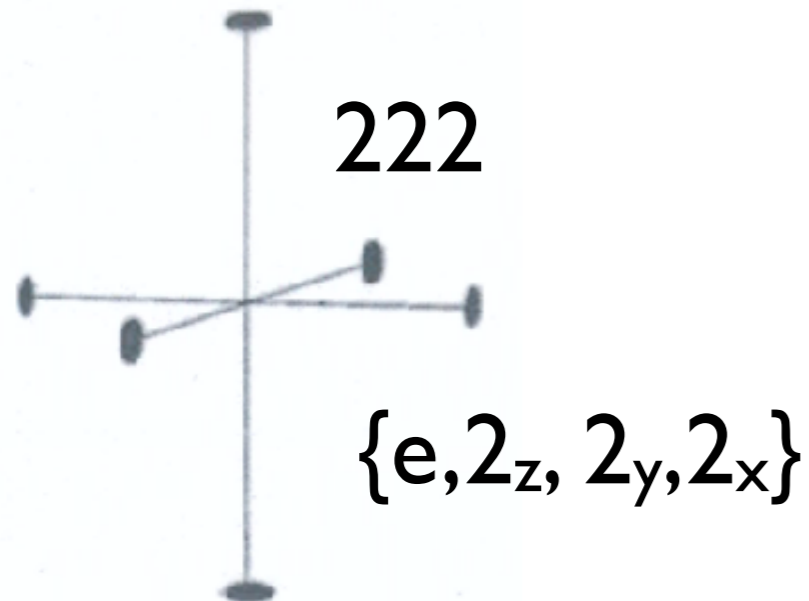
Cyclic: 1 ( $C_1$ ), 2 ( $C_2$ ), 3 ( $C_3$ ), 4 ( $C_4$ ), 6 ( $C_6$ )

Dihedral: 222 ( $D_2$ ), 32 ( $D_3$ ), 422 ( $D_4$ ), 622 ( $D_6$ )

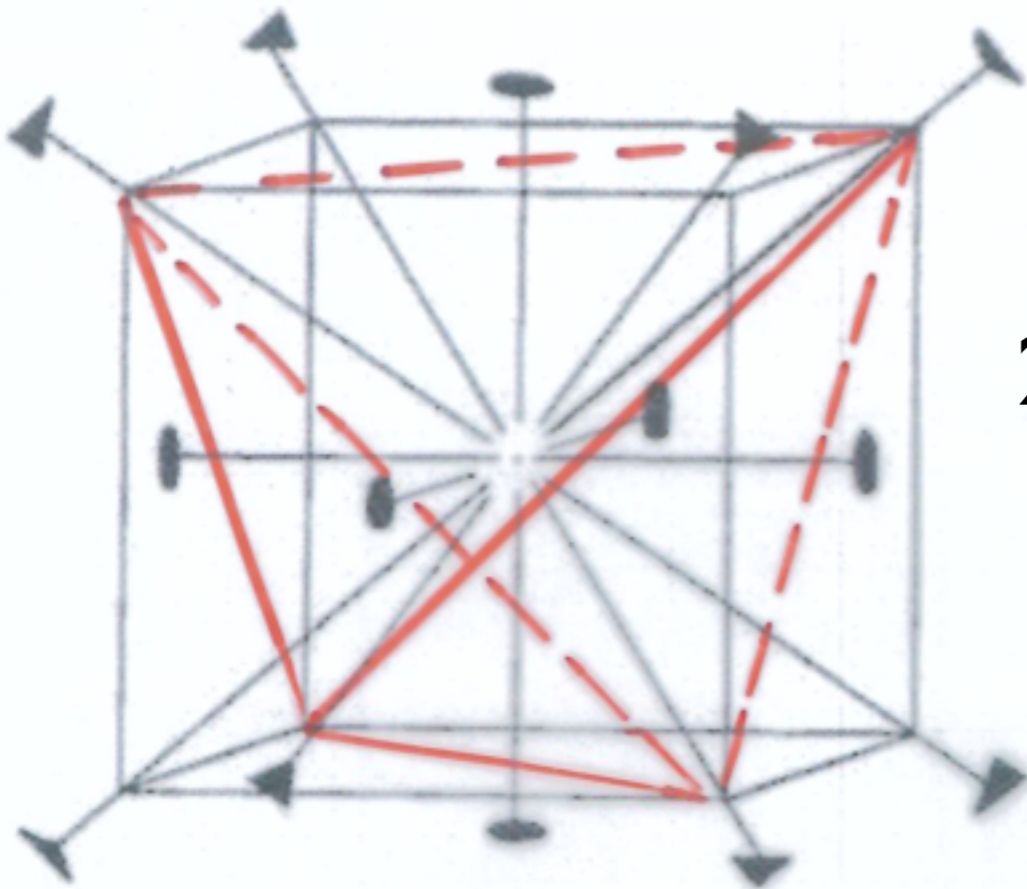
Cubic: 23 ( $T$ ), 432 ( $O$ )



# Dihedral Point Groups



# Cubic Rotational Point Groups

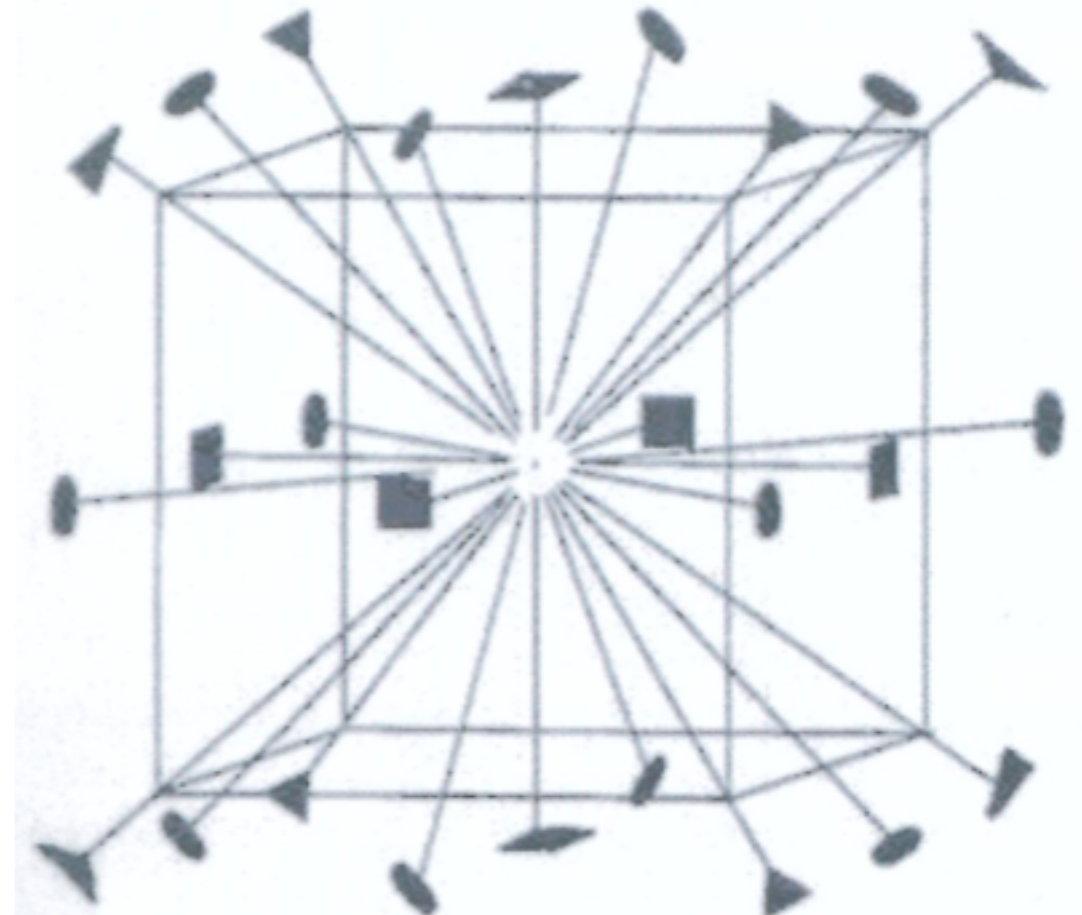


23 (T)

$\{e, 2_x, 2_y, 2_z,$   
 $3_1, 3_1^-, 3_2, 3_2^-, 3_3, 3_3^-, 3_4, 3_4^-\}$

$\{e, 2_x, 2_y, 2_z,$   
 $4_x, 4_x^-, 4_y, 4_y^-, 4_z, 4_z^-,$   
 $3_1, 3_1^-, 3_2, 3_2^-, 3_3, 3_3^-, 3_4, 3_4^-,$   
 $2_1, 2_2, 2_3, 2_4, 2_5, 2_6\}$

432(O)



# Direct-product groups

Let  $G_1$  and  $G_2$  are two groups. The set of all pairs  $\{(g_1, g_2), g_1 \in G_1, g_2 \in G_2\}$  forms a group  $G_1 \otimes G_2$  with respect to the product:  $(g_1, g_2)(g'_1, g'_2) = (g_1 g'_1, g_2 g'_2)$ .

The group  $G = G_1 \otimes G_2$  is called a **direct-product** group

Point group **mm2** =  $\{1, 2_{001}, m_{100}, m_{010}\}$

$$G_1 = \{1, 2_{001}\} \quad G_2 = \{1, m_{100}\}$$

$$G_1 \otimes G_2 = \{1.1, 2_{001}.1, 1.m_{100}, 2_{001}m_{100} = m_{010}\}$$

## Centro-symmetrical groups

$G_1$ : rotational groups     $G_2 = \{1, \bar{1}\}$  group of inversion

$$G_1 \otimes \{1, \bar{1}\} = G_1 + \bar{1}.G_1$$

$$\{1, 2_{001}, m_{100}, m_{010}\} \otimes \{1, \bar{1}\} =$$

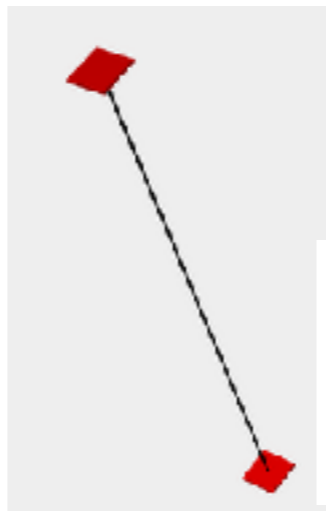
$$\{1.1, 2_{001}.1, m_{100}.1, m_{010}.1, 1.\bar{1}, 2_{001}.\bar{1}, m_{100}.\bar{1}, m_{010}.\bar{1}\}$$

$$\{1, 2_{001}, m_{100}, m_{010}, \bar{1}, m_{001}, 2_{100}, 2_{010}\} = 2/m2/m2/m \text{ or } mmm$$

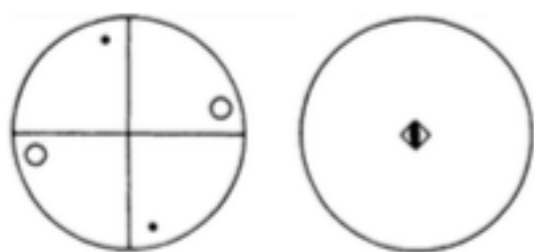
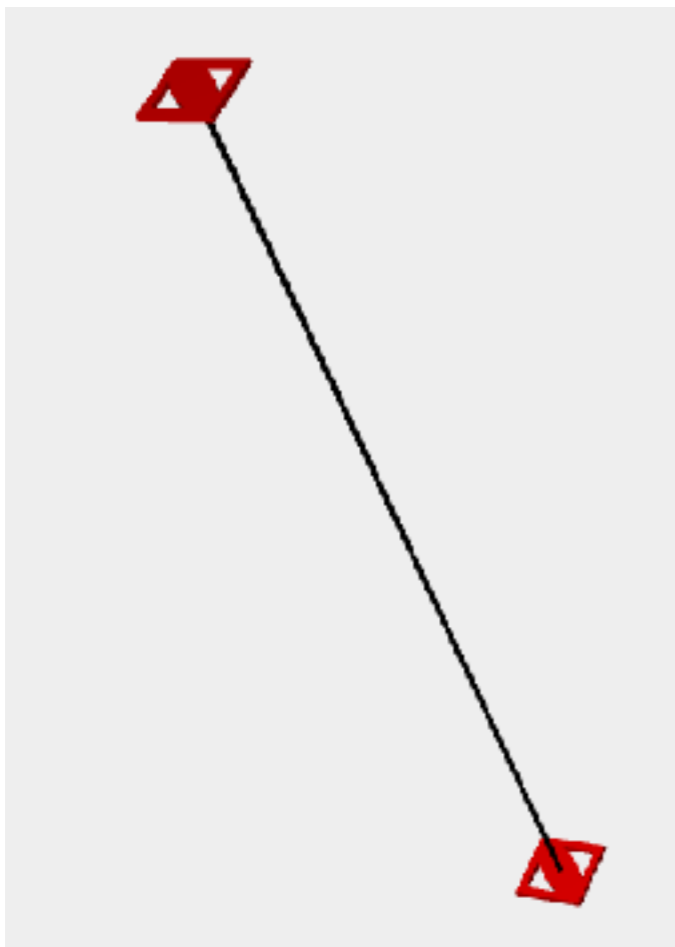
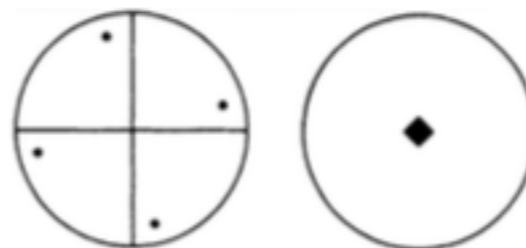
# Crystallographic Point Groups

G	$G+\bar{1}G$	$G(G')$	$G'+\bar{1}(G-G')$
1 ( $C_1$ )	$1+\bar{1}.1=\bar{1}$ ( $C_i$ )	----	-----
2 ( $C_2$ )	$2+\bar{1}.2=2/m$ ( $C_{2h}$ )	2(1)	m ( $C_s$ )
3 ( $C_3$ )	$3+\bar{1}.3=\bar{3}$ ( $C_{3i}$ or $S_6$ )	----	-----
4 ( $C_4$ )	$4+\bar{1}.4=4/m$ ( $C_{4h}$ )	4(2)	$\bar{4}$ ( $S_4$ )
6 ( $C_6$ )	$6+\bar{1}.6=6/m$ ( $C_{6h}$ )	6(3)	$\bar{6}$ ( $C_{3h}$ )



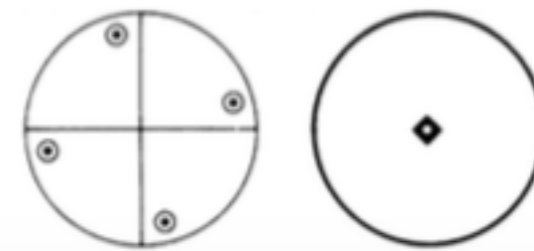
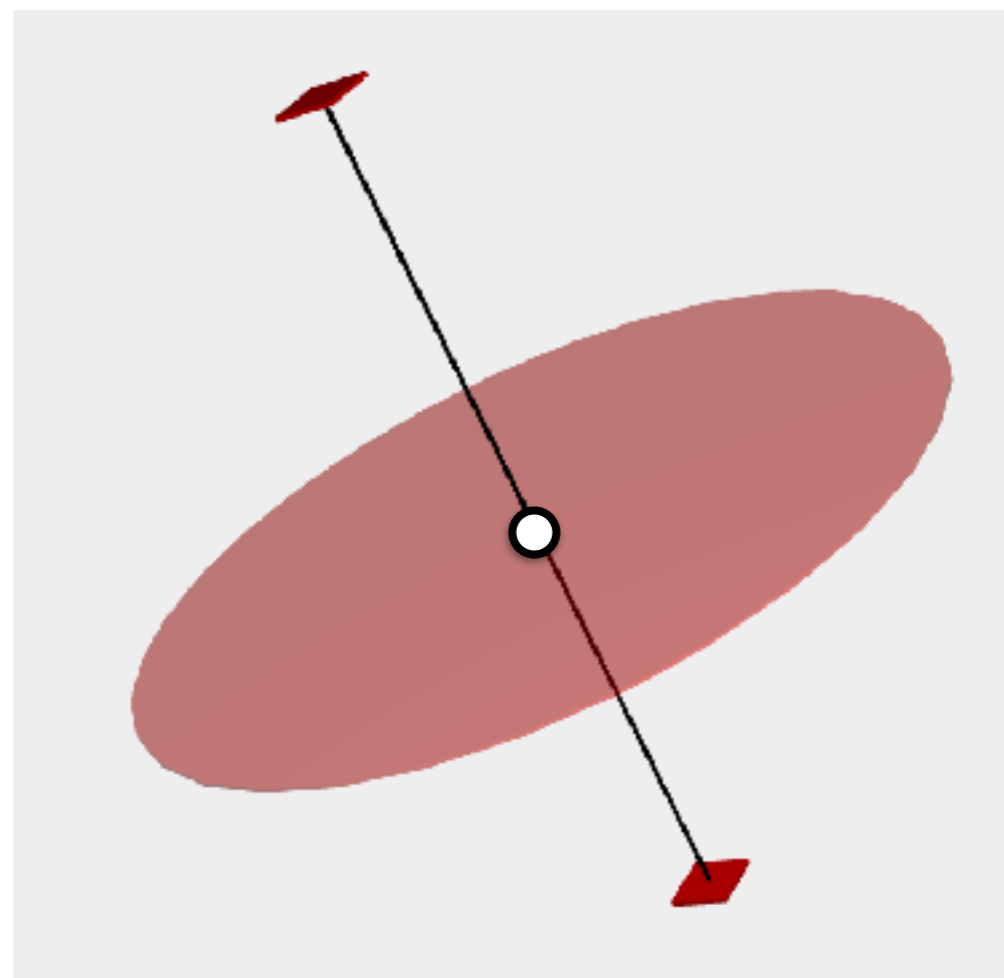


4 ( $C_4$ )



4(2)

4 ( $S_4$ )

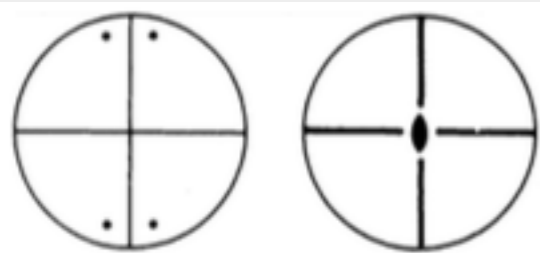
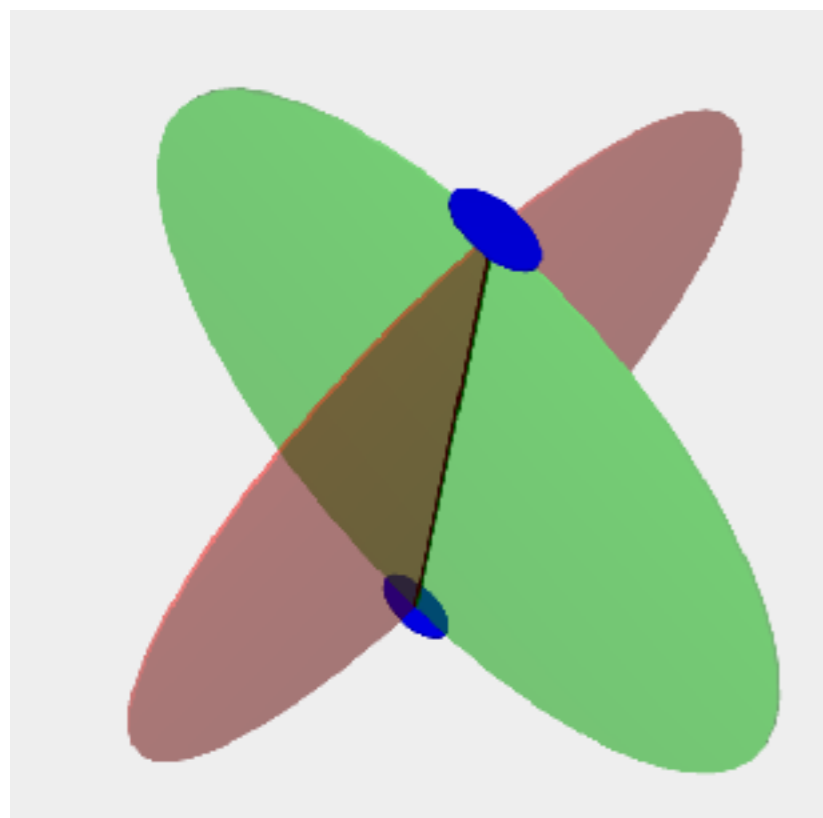
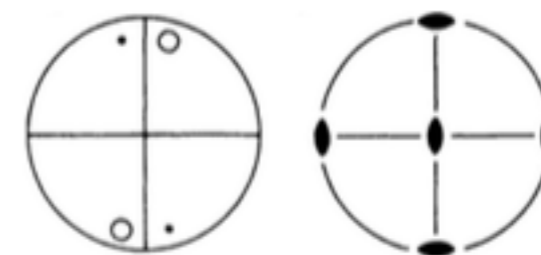
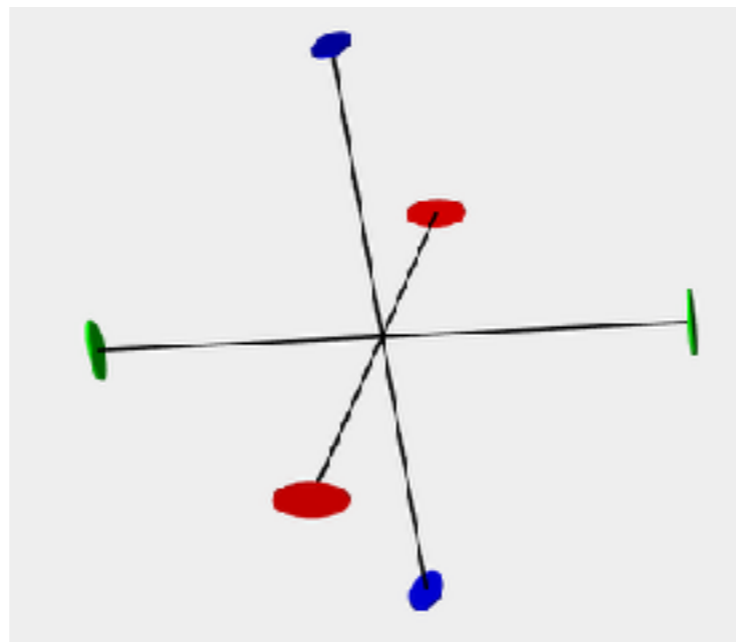


4 +  $\bar{1}$ .4 = 4/m ( $C_{4h}$ )

# Crystallographic Point Groups

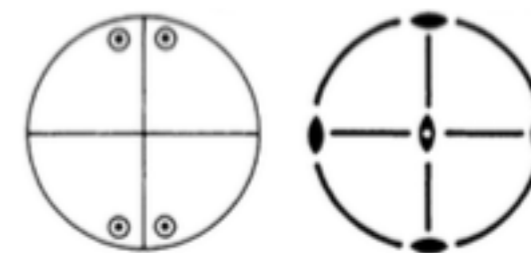
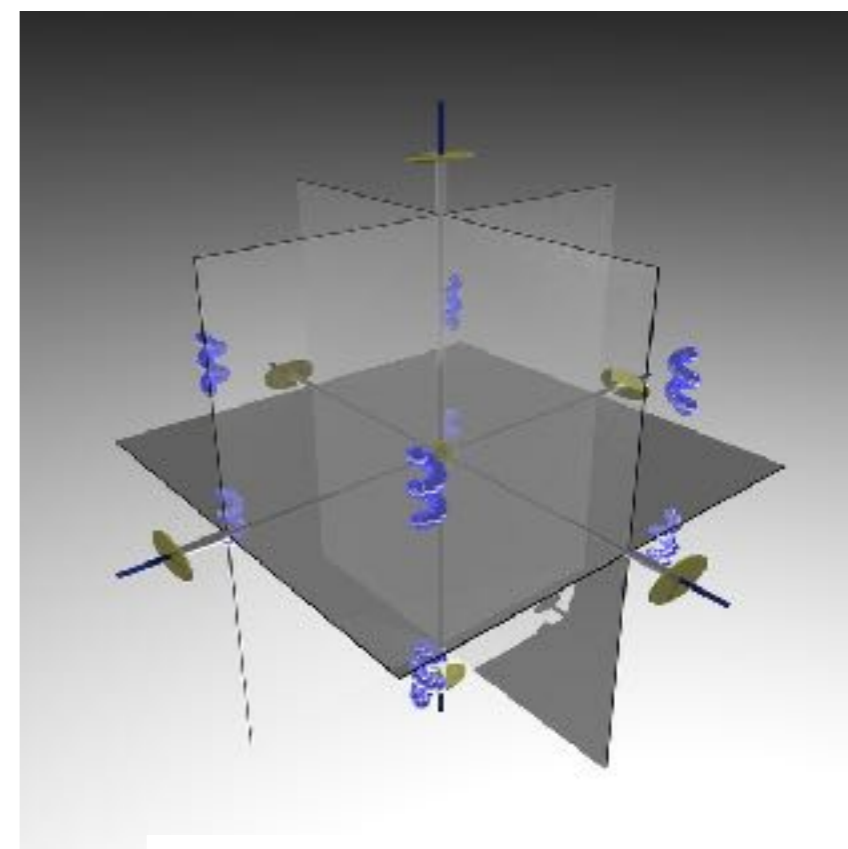
G	$G + \bar{1}G$	$G(G')$	$G' + \bar{1}(G - G')$
222 ( $D_2$ )	$222 + \bar{1}.222 = 2/m2/m2/m$ $mmm (D_{2h})$	222(2)	2mm ( $C_{2v}$ )
32 ( $D_3$ )	$32 + \bar{1}.32 = \bar{3}2/m$ $\bar{3}m (D_{3d})$	32(3)	3m ( $C_{3v}$ )
422 ( $D_4$ )	$422 + \bar{1}.422 = 4/m2/m2/m$ $4/mmm (D_{4h})$	422(4) 422(222)	4mm ( $C_{4v}$ ) $\bar{4}2m (D_{2d})$
622 ( $D_6$ )	$622 + \bar{1}.622 = 6/m2/m2/m$ $6/mmm (D_{6h})$	622(6) 622(32)	6mm ( $C_{6v}$ ) $\bar{6}2m (D_{3h})$
23 (T)	$23 + \bar{1}.23 = 2/m\bar{3}$ $m\bar{3} (T_h)$	----	----
432 (O)	$432 + \bar{1}.432 = 4/m\bar{3}2/m$ $m\bar{3}m (O_h)$	432(23)	$\bar{4}3m (T_d)$

222 ( $D_2$ )



222(2)

2mm ( $C_{2v}$ )

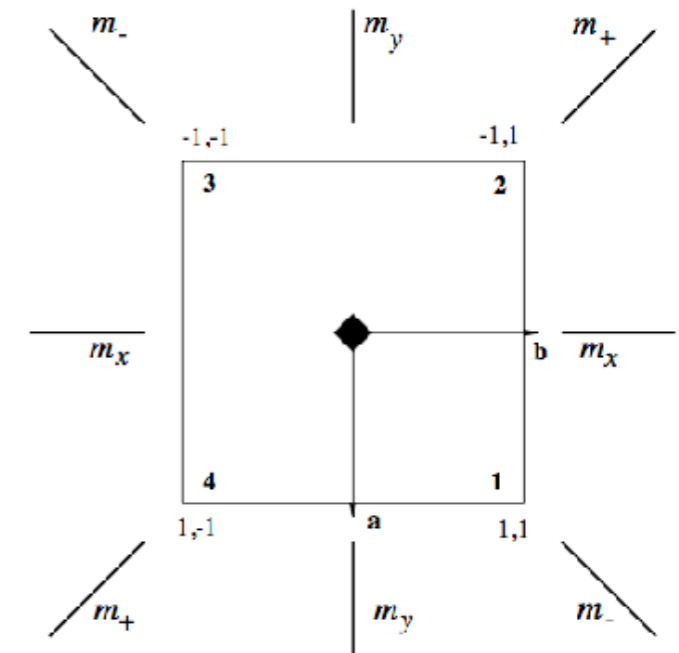


$222 + \bar{1} \cdot 222 = 2/m2/m2/m$   
 $mmm$  ( $D_{2h}$ )

# Crystallographic Point Groups

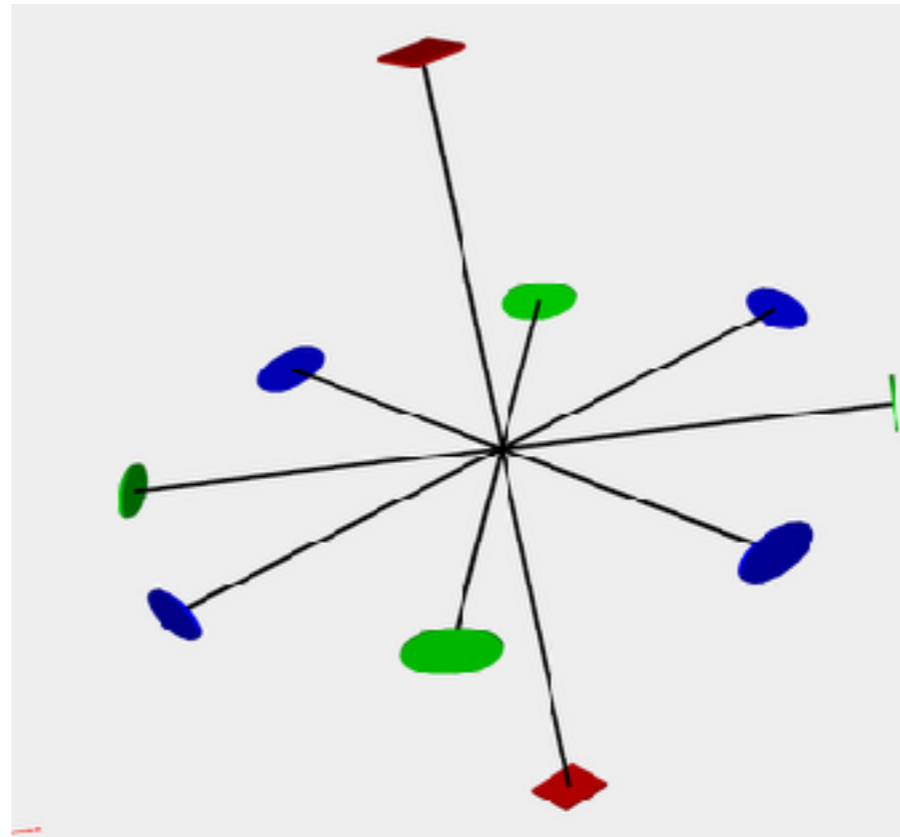
## Groups isomorphic to 422

422	e	$4_z$	$4_z^-$	$2_z$	$2_x$	$2_y$	$2+2-$
4mm	e	$4_z$	$4_z^-$	$2_z$	$m_x$	$m_y$	$m+m-$
$\bar{4}2m$	e	$\bar{4}_z$	$\bar{4}_z^-$	$2_z$	$2_x$	$2_y$	$m+m-$
$\bar{4}m2$	e	$\bar{4}_z$	$\bar{4}_z^-$	$2_z$	$m_x$	$m_y$	$2+2-$

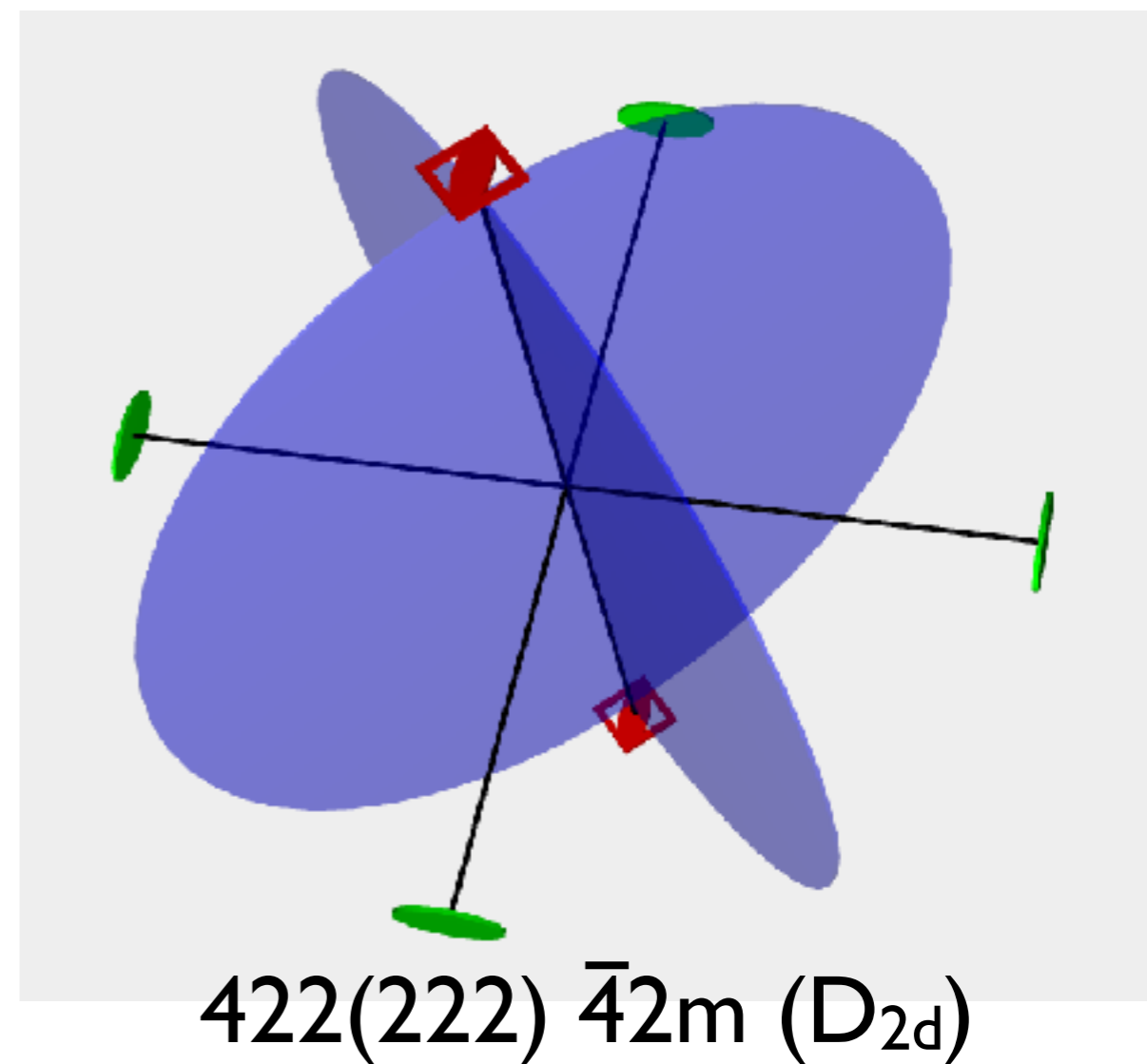
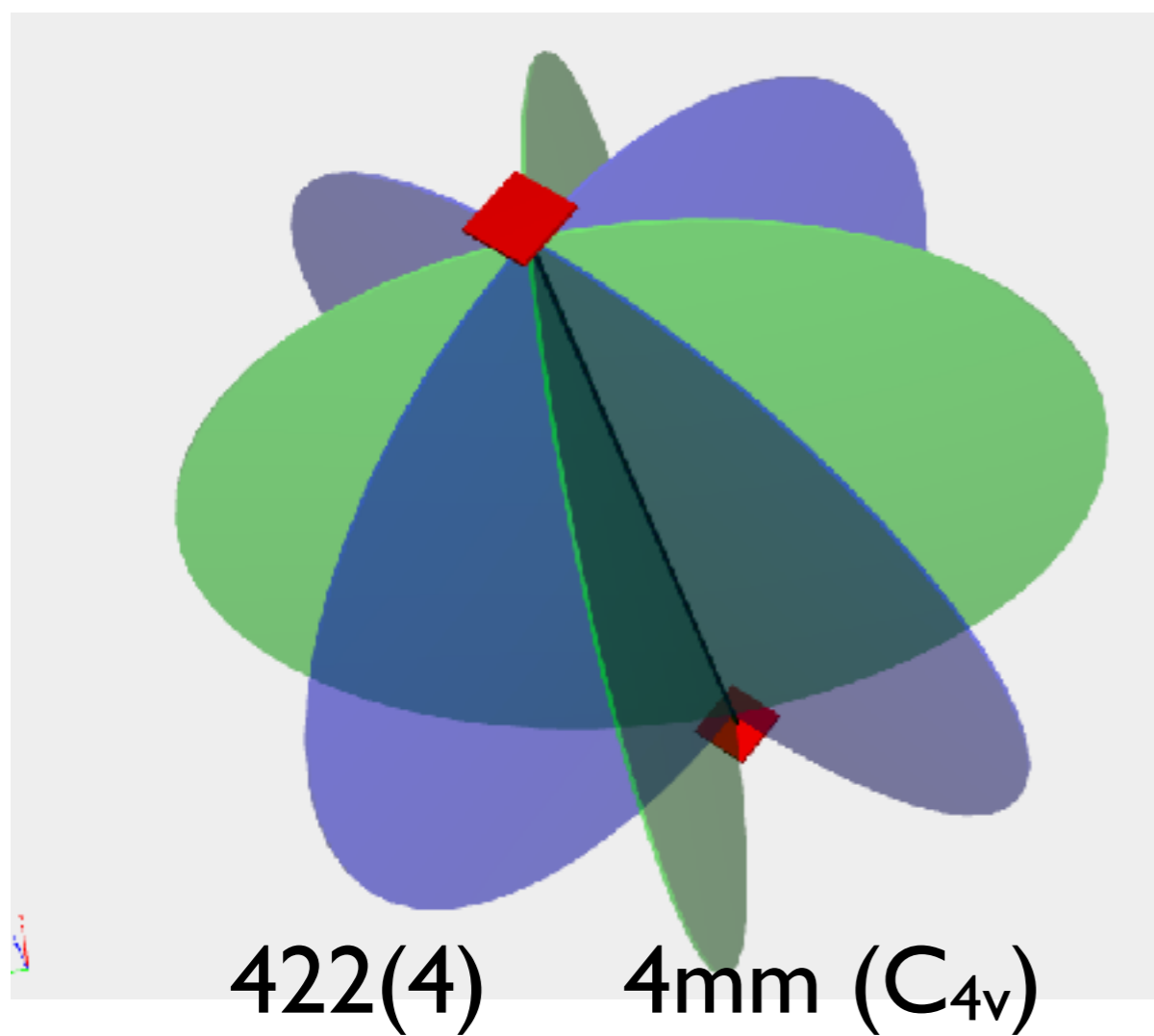


## Groups isomorphic to 622

622	e	$6_z$	$6_z^-$	$3_z$	$3_z^-$	$2_z$	$2_1 2_2 2_3$	$2'_1 2'_2 2'_3$
6mm	e	$6_z$	$6_z^-$	$3_z$	$3_z^-$	$2_z$	$m_1 m_2 m_3$	$m'_1 m'_2 m'_3$
$\bar{6}2m$	e	$\bar{6}_z$	$\bar{6}_z^-$	$3_z$	$3_z^-$	$m_z$	$2_1 2_2 2_3$	$m'_1 m'_2 m'_3$
$\bar{6}m2$	e	$\bar{6}_z$	$\bar{6}_z^-$	$3_z$	$3_z^-$	$m_z$	$m_1 m_2 m_3$	$2'_1 2'_2 2'_3$

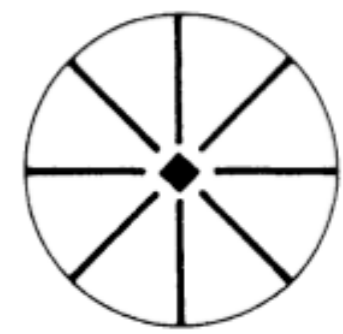
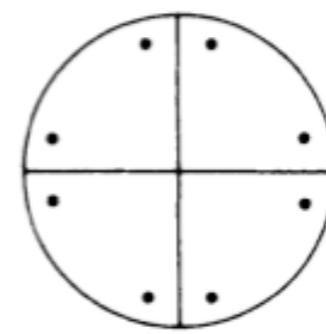


422 ( $D_4$ )

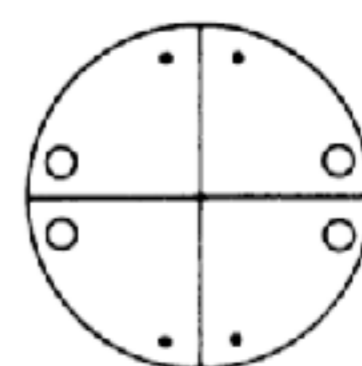
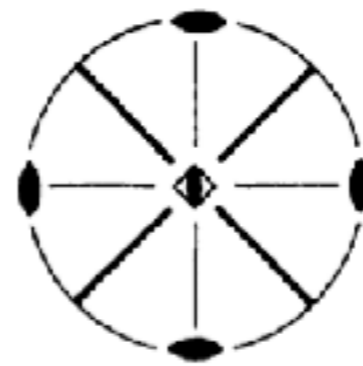
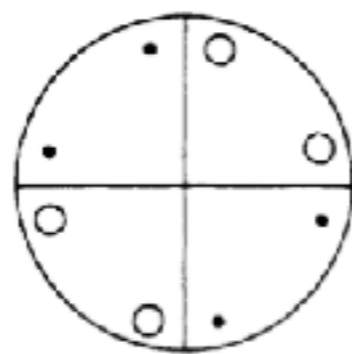
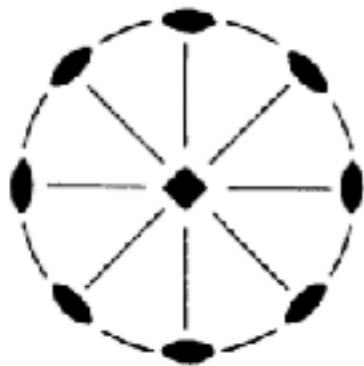
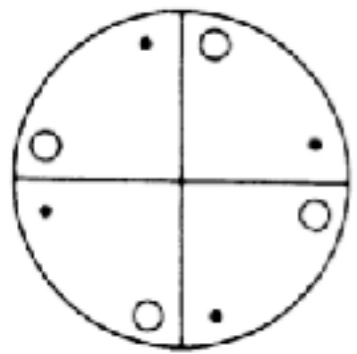


## Problem 1.7

**$4mm$**



Consider the following three pairs of stereographic projections. Each of them correspond to a crystallographic point group isomorphic to  **$4mm$** :



- (i) Determine those point groups by indicating their symbols, symmetry operations and possible sets of generators;
- (ii) Construct the corresponding multiplication tables;
- (iii) For each of the isomorphic point groups indicate the one-to-one correspondence with the symmetry operations of  **$4mm$** .

# DOUBLE GROUPS

# Homomorphism $SU(2) \longrightarrow SO(3)$

rotation in  $\mathbb{R}^3$  specified  
by Euler angles  $(\alpha, \beta, \gamma)$

$0 \leq \alpha \leq 2\pi$   
 $0 \leq \beta \leq 2\pi$   
 $0 \leq \gamma \leq 2\pi$ 
} special orthogonal  
group  $SO(3)$

$$\mathbf{R}(\alpha, \beta, \gamma) = \begin{pmatrix} \cos\alpha \cos\beta \cos\gamma - \sin\alpha \sin\gamma & -\sin\alpha \cos\beta \cos\gamma - \cos\alpha \sin\gamma & \sin\beta \cos\gamma \\ \cos\alpha \cos\beta \sin\gamma + \sin\alpha \cos\gamma & -\sin\alpha \cos\beta \sin\gamma + \cos\alpha \cos\gamma & \sin\beta \sin\gamma \\ -\cos\alpha \sin\beta & \sin\alpha \sin\beta & \cos\beta \end{pmatrix}$$



transformation of a  
two-component spinor

$$D^{\frac{1}{2}}(\alpha, \beta, \gamma) = \begin{pmatrix} e^{i(\alpha+\gamma)/2} \cos(\beta/2) & e^{-i(\alpha-\gamma)/2} \sin(\beta/2) \\ -e^{i(\alpha-\gamma)/2} \sin(\beta/2) & e^{-i(\alpha+\gamma)/2} \cos(\beta/2) \end{pmatrix} \quad \text{special unitary (unimodular) group } SU(2)$$

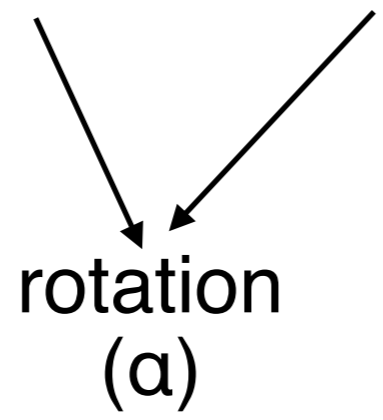
homomorphism

$SU(2)$

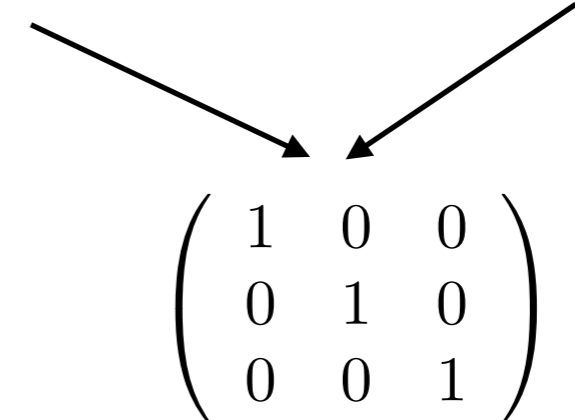
$SO(3)$



rotation  $(\alpha)$       rotation  $(\alpha+2\pi)$



$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  kernel  $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$





# Double Groups

Bete (1929)

Opechowski (1940)

## Definition (Opechowski, 1940):

The double group  ${}^d\mathbf{G}$  of a group  $\mathbf{G}$  of order  $|\mathbf{G}|$  (which is a subgroup of the 3-dim rotational group  $\mathbf{O}(3)$ ), is an abstract group of order  $2|\mathbf{G}|$  having the same group-multiplication table as the  $2|\mathbf{G}|$  matrices of  $\mathbf{SU}(2)$  which correspond to the elements of  $\mathbf{G}$ .

$${}^d\mathbf{G} = \mathbf{G} + \bar{\mathbf{E}}\mathbf{G} = \{\mathbf{R}\} + \{\bar{\mathbf{R}}\} \quad \mathbf{G} = \{\mathbf{R}\} < \mathbf{O}(3)$$
$$\bar{\mathbf{E}} \text{ rotation of } 2\pi \quad \bar{\mathbf{E}}\mathbf{R} = \bar{\mathbf{R}}$$

## Combinations of symmetry operations

rotation of  $2\pi$ :  $\mathbf{R}\bar{\mathbf{E}} = \bar{\mathbf{E}}\mathbf{R} = \bar{\mathbf{R}} \quad \mathbf{R} \in \mathbf{G}$

rotation  $\mathbf{C}_n$ :  $(\mathbf{C}_n)^n = \bar{\mathbf{E}} \quad (\mathbf{C}_n)^{2n} = \mathbf{E} \quad (\mathbf{C}_n)^{-1} = (\mathbf{C}_n)^{2n-1} = \bar{\mathbf{E}}(\mathbf{C}_n)^{n-1}$

$n=2$ :  $(\mathbf{C}_2)^{-1} = (\mathbf{C}_2)^3 = \bar{\mathbf{E}}\mathbf{C}_2 = \bar{\mathbf{C}}_2$

inversion  $\bar{\mathbf{1}}$ :  $(\bar{\mathbf{1}})^2 = \mathbf{E} \quad \bar{\mathbf{1}}\bar{\mathbf{E}} = \bar{\mathbf{E}}\bar{\mathbf{1}}$

reflection  $\mathbf{m}$ :  $(\mathbf{m})^2 = \bar{\mathbf{E}} \quad (\mathbf{m})^4 = \mathbf{E} \quad (\mathbf{m})^{-1} = (\mathbf{m})^3 = \bar{\mathbf{E}}\mathbf{m} = \bar{\mathbf{m}}$

## Example

$$\{e, g_1, g_2, \dots\} = G \in SO(3)$$



$$\{e, g_1, g_2, \dots; \bar{E}, \bar{E}g_1, \bar{E}g_2, \dots\} \cong {}^dG \in SU(2)$$

**Note:**  $G \not\subset {}^dG$  the operations of  ${}^dG$  that correspond to  $G$  do not form a closed set

cyclic group  
of order  $n$ :

$$G = \{e, C_n, C_n^2, \dots, C_n^{n-1}\}$$



cyclic group  
of order  $2n$ :

$${}^dG = \{e, C_n, C_n^2, \dots, C_n^{n-1}, C_n^n, C_n^{n+1}, \dots, C_n^{2n-1}\}$$

$$\bar{E} = C_{2\pi} = C_n^n$$

the subset of  ${}^dG$  that  
corresponds to  $G$  **does**  
**not** form a closed set

$$\{e, C_n, C_n^2, \dots, C_n^{n-1}\} \not\subset {}^dG$$

# Classes of conjugate elements

# Double Groups

To each class of  $G \rightarrow$  one or two classes of  ${}^dG$

## OPECHOWSKI RULES

$$\{E\}, \{\bar{E}\}, \{\bar{1}\}, \{\bar{1}\bar{E}\}$$

$$\{C_n\}, \{\bar{C}_n\} \text{ iff, } n \neq 2$$

$$\{C_2(n), \bar{C}_2(n)\} \text{ iff } \exists C_2(n') \text{ or } m(n') \text{ with } n \perp n'$$

$$\{m(n), \bar{m}(n)\} \text{ iff } \exists C_2(n') \text{ or } m(n') \text{ with } n \perp n'$$

$$\{\bar{C}_n^k, \bar{C}_n^{k-1}\} \text{ iff } \{C_n^k, C_n^{k-1}\}, n > 2$$

## Examples

$$222 = \{e, 2_{100}, 2_{010}, 2_{001}\}$$

$${}^d222 = \{e, 2_{100}, 2_{010}, 2_{001}, \bar{E}, \bar{E}2_{100}, \bar{E}2_{010}, \bar{E}2_{001}\}$$

abelian  
group

classes of conjugate operations

non-abelian  
group

$$\{e\}, \{2_{100}\}, \{2_{010}\}, \{2_{001}\}$$

$$\{e\}, \{\bar{E}\}, \{2_{100}, \bar{E}2_{100}\}, \{2_{010}, \bar{E}2_{010}\}, \{2_{001}, \bar{E}2_{001}\}$$

$$2_{100}^{-1}2_{001}2_{100} = (\bar{E}2_{100})2_{001}2_{100} = \bar{E}2_{001}$$

## Problem 1.8

Construct the double group  ${}^d\text{mm}2$  and distribute its symmetry operations into classes of conjugate operations.

Construct the double group  ${}^d4\text{mm}$  and distribute its symmetry operations into classes of conjugate operations.

What about the classes of conjugate symmetry operations of the double groups  ${}^d422$  and  ${}^d\bar{4}m2$ ?